Case 1:20-cv-01200-AS Document 22-1 Filed 08/24/20 Page 1 of 55 CHAPTER____ LAWS OF 20 13 SENATE BILL 2230 ASSEMBLY BILL STATE OF NEW YORK S. 2230 A. 2388 2013-2014 Regular Sessions SENATE - ASSEMBLY January 14, 2013 IN SENATE -- Introduced by Sens. KLEIN, SMITH -- (at request of the Governor) -- read twice and ordered printed, and when printed to be committed to the Committee on Rules IN ASSEMBLY -- Introduced by M. of A. SILVER, LENTOL, ORTIZ, MORELLE, FARRELL, WEINSTEIN, CAMARA, HOOPER, O'DONNELL, TITONE, PAULIN, MOYA, GLICK, WRIGHT, SCHIMEL, GOTTFRIED, ROSENTHAL, KAVANAGH, STECK, WEPRIN
-- Multi-Sponsored by -- M. of A. ABINANTI, BOYLAND, BRENNAN,
BROOK-KRASNY, BUCHWALD, CASTRO, COLTON, DINOWITZ, ENGLEBRIGHT, ESPI-NAL, FAHY, JACOBS, JAFFEE, KELLNER, KIM, LAVINE, LIFTON, MARKEY, MAYER, MILLMAN, MOSLEY, OTIS, ROSA, ROZIC -- (at request of the Governor) -- read once and referred to the Committee on Codes AN ACT to amend the criminal procedure law, the correction law, the family court act, the executive law, the general business law, the judiciary law, the mental hygiene law, the penal law and the surrogate's court procedure act, in relation to suspension and revocation of firearms licenses; private sale or disposal of firearms, rifles or shotguns and establishing a minimum age to possess a firearm; to amend the family court act, the domestic relations law and the criminal

DATE RECEIVED BY GOVERNOR:

1/15/13

ACTION MUST BE TAKEN BY:

JAN 2 6 2013

DATE GOVERNOR'S ACTION TAKEN:

1/15/13

SENATE VOTE 43 y 18 N	HOME RULE MESSAGE Y N
DATE 1/14/13	
ASSEMBLY VOTE 104 y 43	1
DATE 1/15/13	000092

RETRIEVE Page 1 of 49

S2230 KLEIN Same as Uni. A 2388 Silver (MS)

<u>01/15/13</u>	S2230	Assembly Vote	Yes: 104	No: 43
01/14/13	S2230	Senate Vote	Aye: 43	Nay: 18

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Floor Votes:

01/15/13 S2230 Assembly Vote Yes: 104 No: 43

					_		
Yes	Abbate	Yes	Abinanti	Yes	Arroyo	Yes	Aubry
No	Barclay	Yes	Barrett	Yes	Barron	Yes	Benedetto
No	Blankenbush	No	Borelli	Yes	Boyland	Yes	Braunstein
Yes	Brennan	No	Brindisi	Yes	Bronson	Yes	Brook-Krasny
Yes	Buchwald	No	Butler	Yes	Cahill	Yes	Camara
Yes	Castro	No	Ceretto	Yes	Clark	Yes	Colton
Yes	Cook	No	Corwin	ER	Crespo	No	Crouch
Yes	Curran	Yes	Cusick	Yes	Cymbrowitz	Yes	DenDekker
Yes	Dinowitz	No	DiPietro	No	Duprey	Yes	Englebright
Yes	Espinal	Yes	Fahy	Yes	Farrell	No	Finch
ER	Fitzpatrick	No	Friend	No	Gabryszak	Yes	Galef
Yes	Gantt	No	Garbarino	Yes	Gibson	No	Giglio
Yes	Gjonaj	Yes	Glick	Yes	Goldfeder	No	Goodell
Yes	Gottfried	No	Graf	No	Gunther A	No	Hawley
Yes	Heastie	Yes	Hennessy	Yes	Hevesi	Yes	Hikind
Yes	Hooper	Yes	Jacobs	Yes	Jaffee	No	Johns
No	Jordan	No	Katz	Yes	Kavanagh	No	Kearns
Yes	Kellner	Yes	Kim	No	Kolb	No	Lalor
Yes	Lavine	Yes	Lentol	Yes	Lifton	No	Lopez P
Yes	Lopez V	Yes	Losquadro	No	Lupardo	Yes	Lupinacci
No	Magee	Yes	Magnarelli	Yes	Maisel	Yes	Malliotakis
Yes	Markey	Yes	Mayer	Yes	McDonald	Yes	McDonough
Yes	McKevitt	No	McLaughlin	Yes	Miller	Yes	Millman
No	Montesano	Yes	Morelle	Yes	Mosley	Yes	Moya
No	Nojay	Yes	Nolan	No	Oaks	Yes	O'Donnell
Yes	Ortiz	Yes	Otis	No	Palmesano	Yes	Paulin
Yes	Peoples-Stokes	Yes	Perry	Yes	Pretlow	Yes	Quart
Yes	Ra	No	Rabbitt	No	Raia	Yes	Ramos
No	Reilich	ER	Rivera	Yes	Roberts	Yes	Robinson
Yes	Rodriguez	Yes	Rosa	Yes	Rosenthal	Yes	Rozic
Yes	Russell	Yes	Ryan	No	Saladino	Yes	Santabarbara
Yes	Scarborough	Yes	Schimel	No	Schimminger	Yes	Sepulveda
Yes	Simanowitz	Yes	Simotas	Yes	Skartados	No	Skoufis
Yes	Solages	No	Stec	Yes	Steck ,	Yes	Stevenson

Yes	Stirpe	Yes	Sweeney	No	Tedisco	No	Tenney
Yes	Thiele	Yes	Titone	Yes	Titus	No	Walter
Yes	Weinstein	Yes	Weisenberg	Yes	Weprin	Yes	Wright
Yes	Zebrowski K	Yes	Mr. Speaker				

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Floor Votes:

01/14	1/13 S2230 Ser	iate Vo	te Aye: 43 Na	ıy: 18			
Aye	Adams	Aye	Addabbo	Aye	Avella	Nay	Ball
Nay	Bonacic	Aye	Boyle	Aye	Breslin	Aye	Carlucci
Nay	DeFrancisco	Aye	Diaz	Aye	Dilan	Aye	Espaillat
Nay	Farley	Aye	Felder	Aye	Flanagan	Aye	Fuschillo
Nay	Gallivan	Aye	Gianaris	Aye	Gipson	Aye	Golden
Nay	Griffo	Aye	Grisanti	Aye	Hannon	Aye .	Hassell- Thompson
Aye	Hoylman	Aye	Kennedy	Aye	Klein	Aye	Krueger
Aye	Lanza	Nay	Larkin	Aye	Latimer	Aye	LaValle
Nay	Libous	Nay	Little	Aye	Marcellino	Nay	Marchione
Aye	Martins	Nay	Maziarz	Aye	Montgomery	Nay	Nozzolio
Aye	O'Brien	Nay	O'Mara	Aye	Parker	Aye	Peralta
Aye	Perkins	Nay	Ranzenhofer	Nay	Ritchie	Aye	Rivera
Nay	Robach	Aye	Sampson	Aye	Sanders	Aye	Savino
Aye	Serrano	Nay	Seward	Aye	Skelos	Aye	Smith
Aye	Squadron	Aye	Stavisky	Aye	Stewart- Cousins	Aye	Valesky
Nay	Young	Exc	Zeldin		con attropysophists of the state of the stat		•



STATE OF NEW YORK EXECUTIVE CHAMBER ALBANY 12224

TO THE LEGISLATURE:

Pursuant to the provisions of Section 14 of Article III of the Constitution and by virtue of the authority conferred upon me, I do hereby certify to the necessity of the immediate vote on Assembly Bill Number 2388 / Senate Bill Number 2230, entitled:

"AN ACT to amend the criminal procedure law, the correction law, the family court act, the executive law, the general business law, the judiciary law, the mental hygiene law, the penal law and the surrogate's court procedure act, in relation to suspension and revocation of firearms licenses; private sale or disposal of firearms, rifles or shotguns and establishing a minimum age to possess a firearm; to amend the family court act) the domestic relations law and the criminal to amend the criminal procedure law, the correction law, the family court act, the executive law, the general business law, the judiciary law, the mental hygiene law, the penal law and the surrogate's court procedure act, in relation to suspension and revocation of firearms licenses; private sale or disposal of firearms, rifles or shotguns and establishing a minimum age to possess a firearm; to amend the family court act, the domestic relations law and the criminal procedure law, in relation to providing for the mandatory suspension or revocation of the firearms license of a person against whom an order of protection or a temporary order of protection has been issued under certain circumstances, or upon violation of any such order; to amend the penal law, in relation to community guns and the criminal sale of a firearm and in relation to the definitions of aggravated and first degree murder; to amend chapter 408 of the laws of 1999 constituting Kendra's Law, in relation to extending the expiration thereof; and to amend the education law, in relation to the New York state school safety improvement teams; and in relation to building aid for metal detectors and safety devices"

The facts necessitating an immediate vote on the bill are as follows:

Some weapons are so dangerous, and some ammunition devices so lethal, that New York State must act without delay to prohibit their continued sale and possession in the State in order to protect its children, first responders and citizens as soon as possible. This bill, if enacted, would do so by immediately banning the ownership, purchase and sale of assault weapons and large capacity ammunition feeding devices, and eliminate them from

commerce in New York State. For this reason, in addition to enacting a comprehensive package of measures that further protects the public, immediate action by the Legislature is imperative.

Because the bill has not been on your desks in final form for three calendar legislative days, the Leaders of your Honorable bodies have requested this message to permit the immediate consideration of this bill.

G I V E N under my hand and the Privy

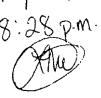
Seal of the State at the Capitol in the City of Albany this fourteenth day of January in the year two thousand thirteen.

BY THE GOVERNOR

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January 14, 2013

Mylan Denerstein Counsel to the Governor Room 210, The Capitol Albany, NY 12224

Dear Ms. Denerstein:

I am formally requesting a message of necessity pursuant to §14 of Article 3 of the Constitution on Senate bill # 2230 relating to suspension and revocation of firearms licenses.

Thank You.

Diane X. Burman'

Counsel to the Senate Majority



THE ASSEMBLY STATE OF NEW YORK ALBANY

Room 347 Capitol
Albany, New York 12248
518-455-3781
250 Broadway, 23" Floor
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212-312-1410
E-mail:
yatesj@assembly.state.ny.us

leceived: 1/14/13@ 9:36 P.M

January 14, 2013

Ms. Mylan Denerstein Counsel to the Governor Executive Chamber The Capitol Albany, New York

Dear Ms. Denerstein:

I am formally requesting a message of necessity pursuant to Article III, Section 14 of the Constitution on the following Assembly bill:

A. 2388

Sincerely,

James Yates

Counsel to the Speaker

JY:kt

NEW YORK STATE SENATE INTRODUCER'S MEMORANDUM IN SUPPORT submitted in accordance with Senate Rule VI. Sec 1

BILL NUMBER: S2230

SPONSOR: KLEIN

TITLE OF BILL:

An act to amend the criminal procedure law, the correction law, the family court act, the executive law, the general business law, the judiciary law, the mental hygiene law, the penal law and the surrogate's court procedure act, in relation to suspension and revocation of firearms licenses; private sale or disposal of firearms, rifles or shotguns and establishing a minimum age to possess a firearm; to amend the family court act, the domestic relations law and the criminal procedure law, in relation to providing for the mandatory suspension or revocation of the firearms license of a person against whom an order of protection or a temporary order of protection has been issued under certain circumstances, or upon violation of any such order; to amend the penal law, in relation to community guns and the criminal sale of a firearm and in relation to the definitions of aggravated and first degree murder; to amend chapter 408 of the laws of 1999 constituting Kendra's Law, in relation to extending the expiration thereof; and to amend the education law, in relation to the New York state school safety improvement teams; and in relation to building aid for metal detectors and safety devices

PURPOSE:

This legislation will protect New Yorkers by reducing the availability of assault weapons and deterring the criminal use of firearms while promoting a fair, consistent and efficient method of ensuring that sportsmen and other legal gun owners have full enjoyment of the guns to which they are entitled. A thoughtful network of laws provides the toughest, most comprehensive and balanced answer in the nation to gun violence. Through this legislation, New York is the first in the nation to completely ban all pre-1994 high capacity magazines; to ban any magazine that holds more than seven rounds (rather than a limit of ten) and to both track ammunition purchases in real time to permit alerts on high volume buyers, while also checking on the buyer's background.

In a range of reforms the bill attends to the weaknesses in the state's current regulatory structure to bring a consistency and rationality that must be the cornerstone of a safe society. A single standard across the State will ensure that legal gun owners obtain their licenses expeditiously while those prohibited are denied that privilege. A statewide database will keep the registry current and guard against the dangerous or unstable possessing guns. New rules will close a loophole that excludes private sales of guns from a federal background check; tighten provisions governing gun ownership by persons with serious mental illness; require safe storage of guns for gun owners who live with someone who has been convicted of certain crimes, is under an order of protection, or who has been involuntarily committed as a result of a mental illness. The bill also creates new and enhanced penalties for illegal gun use, and enhances protections for victims of domestic violence by requiring the firearm surrenders and gun license suspension and revocation in cases where an order of protection has been issued.

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SUMMARY OF PROVISIONS:

Assault Weapons

Section 37 of the bill amends Penal Law § 265.00(22) in order to strengthen New York's assault weapon ban, expanding its reach and making it easier to enforce. The proposed amendments replace the existing ban consisting of and a "two-feature" test adopted from the now-expired federal assault weapons ban with a clearer "one-feature" test. The "two-feature" test bans any gun that is semi-automatic, has a detachable magazine (in the case of pistols and rifles), and possesses two features that are commonly associated with military weapons. The "one-feature" test would ban semi-automatic guns with detachable magazines that possess one feature commonly associated with military weapons. This section also adds to the list of "features" that characterize a banned weapon.

Within one year of the effective date, all weapons defined as assault weapons under the new "one-feature" test, as well as weapons grandfathered in under the original assault weapons ban, must be registered. Current owners of these banned weapons may transfer the weapons only to a firearms dealer or transfer to an out of state buyer. All registered owners will be subject to a review of disqualifiers by the State Police.

Ammunition

Section 38 of the bill amends Penal Law § 265.00(23) to ban all large capacity magazines that have the capacity to hold more than ten rounds of ammunition including those that were grandfathered in under the original assault weapons ban and creates a new ban on magazines that hold more than seven rounds of ammunition. Magazines that can hold more than seven rounds but not more than ten rounds and are currently possessed will be grandfathered in, but may only contain seven rounds of ammunition. Exceptions are made for large capacity magazines that are curios or relics.

Section 39 also adds a new section to Penal Law \$ 265.00 to define seller of ammunition.

Section 50 of the bill enhances control over sales of ammunition by adding a new Penal Law § 400.03 requiring (1) that sellers of ammunition register with the superintendent of the State Police (2) that prior to a sale of ammunition, a seller must run the buyer through a State-created review of disqualifiers to ensure that the buyer is not prohibited by law from possessing ammunition, and (3) that ammunition sales are electronically accessible to the State. In addition, to prevent from purchasing ammunition, the bill requires that any ammunition sold commercially must be conducted by a seller that can perform a background check.

Licensing

Section 49 creates a new Penal Law § 400.02 establishing a statewide gun license and record database. Section 18 amends Section 212 of the Judiciary Law to require that records submitted to the Federal Bureau of Investigation regarding individuals for whom a guardian has been appointed be transmitted to the State and checked against the statewide gun license and record database.

Several sections of the bill strengthen statutory provisions related to the licensing of firearms, shotguns, and rifles. Section 1 amends Criminal Procedure Law (CPL) \S 330.20 to require the revocation of any gun license from and the surrender of any gun by a defendant upon an

entry of a verdict of not responsible by reason of mental disease or defect, upon the acceptance of a plea of not responsible by reason of mental disease or defect, or upon a finding that a defendant is an incapacitated person pursuant to the CPL. Section 2 adds a new section to the CPL that requires a sentencing judge to demand surrender of a gun license or registration and all guns possessed by the defendant upon judgment of conviction for an offense that requires the seizure of a gun and the revocation of a gun license or registration. Sections 4 through 16 amend the Family Court Act, the Domestic Relations Law and the CPL to require, under certain circumstances, the mandatory suspension or revocation of the firearms license of a person against whom an order of protection or a temporary order of protection has been issued.

Section 48 of the bill amends the Penal Law to require that every county recertify a gun license holder's license every five years. Failure to recertify during this five year period equates to revocation of the license. The section also adds bases for denial of a license to an applicant, including connection of a felony or serious offense, being presently subject to an order of protection; and expands the criteria for denial based on an applicant's history of mental illness.

Private Sales

Under current New York law, background checks on gun purchasers are required for all purchases of guns from gun dealers and at gun shows. Section 17 will expand this requirement by adding a new article to the General Business Law requiring background checks to be completed for all gun sales, except for immediate family. Thus private sellers may transfer a gun only if the buyer has obtained a federal "NICS" check. Further, dealers must maintain records of private sale background checks, and private sellers may charge a fee of up to \$10 on a transaction. Transfers between immediate family members will be exempt from the requirements of this section.

Safe Storage

To prevent, among other things, unauthorized and unlicensed use of guns, section 47 of the bill adds a new Penal Law § 265.45 establishing safe storage requirements for rifles, shotguns and firearms. Under this new section, a gun owner who lives with someone who the owner has reason to know is prohibited from possessing a gun because the prohibited person has been convicted of a crime punishable by a term of imprisonment exceeding one year, has been adjudicated mentally defective or committed to a mental institution, is subject to a court order of protection or has been convicted of a misdemeanor crime of domestic violence whose sentence has been completed in the last five years must, when the gun is out of the owner's immediate control, keep the gun secured in a safe storage depository (for example, a safe or similar secure container with a lock that can be opened only with a key or combination, or other locking mechanism) or render it incapable of being fired by putting a safety lock on the gun.

Provisions Related to Persons with Mental Illness

Amendments to the Mental Hygiene Law will help ensure that persons who are mentally ill and dangerous cannot retain or obtain a firearm. First, mental health records that are currently sent to NIDCS for a federal background check will also be housed in a New York State database. A new Section 9.46 of the Mental Hygiene Law will require mental health professionals, in the exercise of reasonable professional judgment, to report if an individual they are treating is likely to engage

in conduct that will cause serious harm to him- or herself or others. A good faith decision about whether to report will not be a basis for any criminal or civil liability. When a Section 9.46 report is made, the Division of Criminal Justice Services will determine whether the person possesses a firearms license and, if so, will notify the appropriate local licensing official, who must suspend the license. The person's firearms will then be removed.

The bill extends Kendra's Law through 2017 and amends the law by: extending the duration of the initial assisted out-patient treatment order from 6 months to one year; requiring a review before the assisted out-patient treatment order for a mentally ill inmate is terminated; requiring an assisted out-patient treatment order to follow a person from one county to another if he or she changes residence; and will require the Office of Mental Hygiene (OMH) to conduct an assisted out-patient treatment assessment when a state prisoner is being discharged to the community from an OHM hospital.

New and Enhanced Criminal Penalties

Several sections of the bill create new and enhanced penalties for illegal gun use. Sections 33 through 36, known as "Mark's Law," will include the intentional murder of certain first responders in the Class A-1 felonies of murder in the first degree and aggravated murder. The mandatory penalty for a conviction of aggravated murder is life without parole.

A new Penal Law Section 460.22, aggravated enterprise corruption, recognizes the significant threat to public safety posed by organized violent gangs and their illegal purchases of weapons by creating an A-1 felony for cases when members of the enterprise commit certain combinations of offenses. Those combinations are: first, a pattern of criminal activity that constitutes Class B felonies or higher, and at least two of those acts are armed felonies; or second, one act is a Class B violent felony and two acts constitute a violation of the newly added Section 265.17 (3) which prohibits the purchase on behalf of or disposal of a weapon to an individual who is prohibited by law from possessing such a weapon. This provision also addresses the issue of "straw purchasers" where individuals who are not prohibited by law to purchase weapons do so for others, for example, gang members who may not possess a weapon because of a prior conviction or other disability under law.

Section 41 increases the penalty for possession of a firearm on school grounds or on a school bus from a misdemeanor to a Class E felony. Section 41-a creates a new subdivision of criminal possession of a weapon in the third degree, a Class D violent felony, when a person possesses an unloaded firearm and also commits a drug trafficking felony or possesses an unloaded firearm and also commits any violent felony as part of the same criminal transaction. The mandatory minimum sentence for these new Class D felonies is a three and one-half year determinate sentence, although the court may consider mitigating factors and impose a lesser sentence in some limited circumstances involving drug trafficking.

Section 45 creates the crime of aggravated criminal possession of a weapon, a Class C felony, which is committed when one possesses a loaded firearm under \S 255.03 of the Penal Law and also commits any violent felony offense or a drug trafficking felony. The minimum mandatory sentence is 5 years.

Section 32 amends Penal Law § 120.05 by adding a new subdivision 4-a to create the crime of assault in the second degree when a person recklessly causes physical injury to a child by the intentional discharge of a firearm, rifle or shotgun.

Section 43 amends Penal Law § 265.17 to include criminal sale or

disposal of a weapon by providing a firearm, rifle or shotgun to a person knowing he or she is prohibited by law from possessing such

firearm, rifle or shotgun. The penalty is raised from a Class A misdemeanor to a Class D felony.

Section 31 adds Penal Law § 115.20 making it a Class A misdemeanor to make available, sell, exchange, give or dispose of a community gun that aids a person in committing a crime. A community gun is defined as one that is made available to, among, or between two or more persons at least one of whom is not authorized pursuant to law to possess such firearm.

Safer Schools

The bill adds a new Section 2801-b to the Education Law to establish New York State School Safety Improvement Teams to review, assess, and make recommendations on School Safety Plans submitted by school districts on a voluntary basis. Section 3602 of the Education Law is amended to allow school districts that purchase various security devices included in their School Safety Plans to receive state building aid reimbursement at a rate ten percent higher than their current building aid ratio. Section 55 is the severability clause, and Section 56 establishes the effective date.

EXISTING LAW:

This bill amends the Correction Law, the Criminal Procedure Law, the Domestic Relations Law, the Executive Law, the Family Court Act, the General Business Law, the Judiciary Law, Kendra's Law (Section 18 of Chapter 408 of the Laws of 1999, as amended by Chapter 139 of the Laws of 2010), the Mental Hygiene Law, the Penal Law, and the Surrogates Court Act.

STATEMENT IN SUPPORT:

In the wrong hands, guns are weapons of untold destruction and heart-break: family and community members are taken from us in an instant; mass shootings shatter our sense of safety in public spaces; street crimes plague our neighborhoods. Nationwide, gun violence claims over 30,000 lives annually.

While the Second Amendment protects the right to keep and bear arms, the Supreme Court has said that that right is "not unlimited."

DISTRICT OF COLUMBIA V. HELLER, 554 U.S. 570, 595, 626 (2008). In the

HELLER case, the Supreme Court explained, "nothing in our opinion should be taken to cast doubt on longstanding prohibitions on the possession of firearms by felons and the mentally ill, or laws forbidding the carrying of firearms in sensitive places such as schools and government buildings, or laws imposing conditions and qualifications on the commercial sale of arms." 554 U.S. at 626-27. The Court also recognized there is a "historical tradition of prohibiting the carrying of 'dangerous and unusual' weapons."

ID.ct. This piece of legislation

heeds the guidance of the Supreme Court by refining and improving the assault weapon ban and increasing the safety of New Yorkers while observing the protections of the Second Amendment.

Some weapons are so dangerous and some ammunition devices so lethal that we simply cannot afford to continue selling them in our state. Assault weapons that have military-style features unnecessary for hunt-

ing and sporting purposes are this kind of weapon. The test adopted in this legislation is intended to bring a simplicity of definition focusing on the lethality of the weapon, amplified by the particular features. Given the difficulty of maintaining a list of guns that keeps pace with changes in weapon design, the one-feature test is a more comprehensive means for addressing these dangerous weapons. Ammunition

The state's previous ban against high capacity magazines faltered because it was impossible to tell the difference between magazines manufactured before or after the effective date of the ban. This bill prohibits possession of all magazines with the capacity to contain more than ten rounds, regardless of the date of manufacture. Going forward, individuals will only be able to obtain magazines that can contain up to seven rounds. Those who currently possess magazines that can contain more than seven rounds will only be permitted to maintain up to seven rounds in such magazines.

The new law also provides a mechanism to identify individuals who purchase unusually high volumes of ammunition, either in person or over the internet. Sellers must run the buyer's name through a State database modeled after the federal "NICS" database to ensure the buyer is not prohibited by law from possessing ammunition. Ammunition sellers are also required to electronically file with the State records of each ammunition sale, including amount sold.

In order to prevent circumvention of these new controls, this bill requires that any seller--whether located in New York or out of state-ship the ammunition to a dealer within New York for in-person pick-up. The dealer is required to maintain records of the ammunition sale and to perform a State review of disqualifiers. Direct shipment of ammunition without a face-to-face transaction prevents a seller from being able to adequately confirm the identity of a buyer through the in-person inspection of a valid photo ID. Without adequate confirmation of a buyer's identity, the benefits of background checks and record keeping are completely circumvented. A law requiring all ammunition sales to culminate in a face-to-face transfer, thereby allowing for effective confirmation of purchaser identity and corresponding background check, is consistent with this scheme.

Licensing

Currently in New York State, outside of New York City, Westchester, Nassau and Suffolk Counties, a gun license never expires. Lack of a renewal procedure means there is no periodic review of a licensee's qualifications. Thus, if a license holder becomes disqualified from carrying a gun subsequent to obtaining a license, he or she will likely retain the license. This law requires every license holder to recertify the licensee's gun license every five years. Failure of a licensee to have his or her license recertified will result in revocation of the license.

In addition, in order to ensure that legal gun license holders receive their licenses as swiftly as possible and to ensure the swift and accurate ability to match license holders with disqualifying events such as a felony conviction, the bill establishes an electronic license and record database. The electronic database will permit regular matching by the State against records of prohibited persons (e.g., those with criminal histories, orders of protection, and mental illnesses that bar gun ownership and licensing) as well as against other databases such as death records to ensure that New York's license records are up to date.

Furthermore, orders of protection are intended to protect victims of domestic violence from their abusers and prevent violent crimes from

RETRIEVE Page 48 of 49

occurring. This bill enhances protections for victims of domestic violence by strengthening the provisions regarding the possession and surrender of firearms and the suspension and revocation of, and ineligibility for, licenses by individuals who are the respondents in an order of protection. The bill makes changes to the Family Court Act to conform to the 2007 amendments made to the CPL.

Private Gun Sales

Under current New York law, background checks on purchasers are required for all purchases of guns from gun dealers and at gun shows, however, individuals that purchase guns through private sellers are not required to undergo background checks. This bill requires background checks for all gun sales, including private sales, ensuring that otherwise disqualified individuals cannot circumvent the law by obtaining guns buying from a private seller.

Safe Storage

To prevent unauthorized possession and use of guns, this bill requires anyone who owns a gun or who lives with someone who the owner has reason to know is disqualified from possessing a gun under certain provisions of federal law to secure any gun in a safe storage depository or render it incapable of being fired by putting a safety lock on the gun if it is to be outside the owner's direct control.

Persons with Mental Illness

This bill adds provisions to revoke or suspend licenses of individuals with mental illness who, in the opinion of mental health professionals would pose a danger to themselves or others should they possess guns. The bill also extends and expands Kendra's Law to provide additional out-patient treatment services to persons with mental illness.

New and Enhanced Criminal Penalties

The new and amended sections of the Penal Law are focused on the methods by which gun violence is often carried out in our communities, giving law enforcement better tools to punish and deter such conduct. As the presence of illegal guns on our streets endangers the welfare of entire communities, these provisions ensure appropriate penalties for making guns available to prohibited persons, as well as putting our children at risk by, among other dangerous and illegal activities, possessing guns near school grounds. In addition, recognizing the widespread violence caused by gang activity, the bill establishes penalties for participation in gang activity resulting in the commission of a violent crime.

The bill also contains new provisions acknowledging the danger that our first responders face every day as they protect other New Yorkers by establishing an enhanced penalty for knowingly causing the death of a first responder in the course of his or her duties.

LEGISLATIVE HISTORY:

New proposal.

BUDGET IMPLICATIONS:

Any costs related to this bill will be paid out of the Division of State Police capital budget.



EFFECTIVE DATE:

The bill will take effect immediately except where otherwise provided.

STATE OF NEW YORK

S. 2230

A. 2388

2013-2014 Regular Sessions

SENATE - ASSEMBLY

January 14, 2013

- IN SENATE -- Introduced by Sens. KLEIN, SMITH -- (at request of the Governor) -- read twice and ordered printed, and when printed to be committed to the Committee on Rules
- IN ASSEMBLY -- Introduced by M. of A. SILVER, LENTOL, ORTIZ, MORELLE, FARRELL, WEINSTEIN, CAMARA, HOOPER, O'DONNELL, TITONE, PAULIN, MOYA, GLICK, WRIGHT, SCHIMEL, GOTTFRIED, ROSENTHAL, KAVANAGH, STECK, WEPRIN -- Multi-Sponsored by -- M. of A. ABINANTI, BOYLAND, BRENNAN, BROOK-KRASNY, BUCHWALD, CASTRO, COLTON, DINOWITZ, ENGLEBRIGHT, ESPINAL, FAHY, JACOBS, JAFFEE, KELLNER, KIM, LAVINE, LIFTON, MARKEY, MAYER, MILLMAN, MOSLEY, OTIS, ROSA, ROZIC -- (at request of the Governor) -- read once and referred to the Committee on Codes
- AN ACT to amend the criminal procedure law, the correction law, the family court act, the executive law, the general business law, the judiciary law, the mental hygiene law, the penal law and the surrogate's court procedure act, in relation to suspension and revocation of firearms licenses; private sale or disposal of firearms, rifles or shotguns and establishing a minimum age to possess a firearm; to amend the family court act, the domestic relations law and the criminal procedure law, in relation to providing for the mandatory suspension or revocation of the firearms license of a person against whom an order of protection or a temporary order of protection has been issued under certain circumstances, or upon violation of any such order; to amend the penal law, in relation to community guns and the criminal sale of a firearm and in relation to the definitions of aggravated and first degree murder; to amend chapter 408 of the laws of 1999 constituting Kendra's Law, in relation to extending the expiration thereof; and to amend the education law, in relation to the New York state school safety improvement teams; and in relation to building aid for metal detectors and safety devices

The People of the State of New York, represented in Senate and Assembly, do enact as follows:

EXPLANATION--Matter in <u>italics</u> (underscored) is new; matter in brackets [-] is old law to be omitted.

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Section 1. Section 330.20 of the criminal procedure law is amended by adding a new subdivision 2-a to read as follows:

2-a. Firearm, rifle or shotgun surrender order. Upon entry of a verdict of not responsible by reason of mental disease or defect, or upon the acceptance of a plea of not responsible by reason of mental disease or defect, or upon a finding that the defendant is an incapacitated person pursuant to article seven hundred thirty of this chapter, the court shall revoke the defendant's firearm license, if any, inquire of the defendant as to the existence and location of any firearm, rifle or shotgun owned or possessed by such defendant and direct the surrender of such firearm, rifle or shotgun pursuant to subparagraph (f) of paragraph one of subdivision a of section 265.20 and subdivision six of section 400.05 of the penal law.

\$ 2. The criminal procedure law is amended by adding a new section 380.96 to read as follows:

§ 380.96 Obligation of sentencing court pursuant to article four hundred of the penal law.

Upon judgment of conviction of any offense which would require the seizure of firearms, shotguns or rifles from an individual so convicted, and the revocation of any license or registration issued pursuant to article four hundred of the penal law, the judge pronouncing sentence shall demand surrender of any such license or registration and all firearms, shotguns and rifles. The failure to so demand surrender shall not effect the validity of any revocation pursuant to article four hundred of the penal law.

- \$ 3. Section 404 of the correction law is amended by adding a new subdivision 3 to read as follows:
- 3. Within a reasonable period prior to discharge of an inmate committed from a state correctional facility from a hospital in the department of mental hygiene to the community, the director shall ensure that a clinical assessment has been completed to determine whether the inmate meets the criteria for assisted outpatient treatment pursuant to subdivision (c) of section 9.60 of the mental hygiene law. If, as a result of such assessment, the director determines that the inmate meets such criteria, prior to discharge the director of the hospital shall either petition for a court order pursuant to section 9.60 of the mental hygiene law, or report in writing to the director of community services of the local governmental unit in which the inmate is expected to reside so that an investigation may be conducted pursuant to section 9.47 of the mental hygiene law.
- § 4. Subdivisions 1, 2 and 3 of section 842-a of the family court act, as added by chapter 644 of the laws of 1996, paragraph (a) of subdivision 1 as amended by chapter 434 of the laws of 2000, the opening paragraph of subdivision 3 as amended by chapter 597 of the laws of 1998, paragraph (a) of subdivision 3 as amended by chapter 635 of the laws of 1999, are amended to read as follows:
- 1. [Mandatory and permissive suspension] Suspension of firearms license and ineligibility for such a license upon the issuance of a temporary order of protection. Whenever a temporary order of protection is issued pursuant to section eight hundred twenty-eight of this article, or pursuant to article four, five, six, seven or ten of this act:
- 53 (a) the court shall suspend any such existing license possessed by the 54 respondent, order the respondent ineligible for such a license, and 55 order the immediate surrender <u>pursuant to subparagraph</u> (f) of paragraph 56 one of subdivision a of section 265.20 and subdivision six of section

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400.05 of the penal law, of any or all firearms owned or possessed where the court receives information that gives the court good cause to believe that: (i) the respondent has a prior conviction of any violent felony offense as defined in section 70.02 of the penal law; (ii) the respondent has previously been found to have willfully failed to obey a prior order of protection and such willful failure involved (A) the infliction of [serious] physical injury, as defined in subdivision [tem] nine of section 10.00 of the penal law, (B) the use or threatened use of a deadly weapon or dangerous instrument as those terms are defined in 10 subdivisions twelve and thirteen of section 10.00 of the penal law, or (C) behavior constituting any violent felony offense as defined in section 70.02 of the penal law; or (iii) the respondent has a prior 13 conviction for stalking in the first degree as defined in section 120.60 14 of the penal law, stalking in the second degree as defined in section 15 120.55 of the penal law, stalking in the third degree as defined in 16 section 120.50 of the penal law or stalking in the fourth degree as defined in section 120.45 of such law; and

- (b) the court [may] shall where the court finds a substantial risk that the respondent may use or threaten to use a firearm unlawfully against the person or persons for whose protection the temporary order of protection is issued, suspend any such existing license possessed by 22 the respondent, order the respondent ineligible for such a license, and 23 order the immediate surrender pursuant to subparagraph (f) of paragraph one of subdivision a of section 265.20 and subdivision six of section 400.05 of the penal law, of any or all firearms owned or possessed.
 - 2. [Mandatory and permissive revocation] Revocation or suspension of firearms license and ineligibility for such a license upon the issuance of an order of protection. Whenever an order of protection is issued pursuant to section eight hundred forty-one of this part, or pursuant to article four, five, six, seven or ten of this act:
- (a) the court shall revoke any such existing license possessed by the 32 respondent, order the respondent ineligible for such a license, and 33 order the immediate surrender pursuant to subparagraph (f) of paragraph one of subdivision a of section 265.20 and subdivision six of section 400.05 of the penal law, of any or all firearms owned or possessed where 36 the court finds that the conduct which resulted in the issuance of the order of protection involved (i) the infliction of [serious] physical 38 injury, as defined in subdivision [tem] nine of section 10.00 of the penal law, (ii) the use or threatened use of a deadly weapon or dangerous instrument as those terms are defined in subdivisions twelve and thirteen of section 10.00 of the penal law, or (iii) behavior constituting any violent felony offense as defined in section 70.02 of the penal law; and
 - (b) the court [may] shall, where the court finds a substantial risk that the respondent may use or threaten to use a firearm unlawfully against the person or persons for whose protection the order of protection is issued, (i) revoke any such existing license possessed by the respondent, order the respondent ineligible for such a license and order the immediate surrender <u>pursuant to subparagraph</u> (f) of <u>paragraph</u> one of subdivision a of section 265.20 and subdivision six of section 400.05 of the penal law, of any or all firearms owned or possessed or (ii) suspend or continue to suspend any such existing license possessed by the respondent, order the respondent ineligible for such a license, and order the immediate surrender pursuant to subparagraph (f) of paragraph one of subdivision a of section 265.20 and subdivision six of

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section 400.05 of the penal law, of any or all firearms owned or possessed.

- 3. [Mandatory and permissive revocation] Revocation or suspension of firearms license and ineligibility for such a license upon a finding of a willful failure to obey an order of protection or temporary order of protection. Whenever a respondent has been found, pursuant to section eight hundred forty-six-a of this part to have willfully failed to obey an order of protection or temporary order of protection issued pursuant to this act or the domestic relations law, or by this court or [an order of protection issued) by a court of competent jurisdiction in another 11 state, territorial or tribal jurisdiction, in addition to any other remedies available pursuant to section eight hundred forty-six-a of this part:
- (a) the court shall revoke any such existing license possessed by the respondent, order the respondent ineligible for such a license, and order the immediate surrender pursuant to subparagraph (f) of paragraph one of subdivision a of section 265.20 and subdivision six of section 400.05 of the penal law, of any or all firearms owned or possessed where the willful failure to obey such order involves (i) the infliction of [serious] physical injury, as defined in subdivision [ten] nine of section 10.00 of the penal law, (ii) the use or threatened use of a deadly weapon or dangerous instrument as those terms are defined in subdivisions twelve and thirteen of section 10.00 of the penal law, or (iii) behavior constituting any violent felony offense as defined in section 70.02 of the penal law; or (iv) behavior constituting stalking 26 in the first degree as defined in section 120.60 of the penal law, stalking in the second degree as defined in section 120.55 of the penal law, stalking in the third degree as defined in section 120.50 of the penal law or stalking in the fourth degree as defined in section 120.45 of such law; and
- (b) the court [may] shall where the court finds a substantial risk 32 that the respondent may use or threaten to use a firearm unlawfully 33 against the person or persons for whose protection the order of 34 protection was issued, (i) revoke any such existing license possessed by the respondent, order the respondent ineligible for such a license, 36 whether or not the respondent possesses such a license, and order the immediate surrender pursuant to subparagraph (f) of paragraph one of 38 subdivision a of section 265.20 and subdivision six of section 400.05 of 39 the penal law, of any or all firearms owned or possessed or (ii) suspend 40 any such existing license possessed by the respondent, order the 41 respondent ineligible for such a license, and order the immediate 42 surrender of any or all firearms owned or possessed.
- 43 § 5. Section 846-a of the family court act, as amended by chapter of the laws of 1998, is amended to read as follows:
- § 846-a. Powers on failure to obey order. If a respondent is brought 46 before the court for failure to obey any lawful order issued under this article or an order of protection or temporary order of protection 48 issued pursuant to this act or issued by a court of competent jurisdic-49 tion of another state, territorial or tribal jurisdiction [in a proceed-50 ing and if, after hearing, the court is satisfied by competent proof 51 that the respondent has willfully failed to obey any such order, the court may modify an existing order or temporary order of protection to 53 add reasonable conditions of behavior to the existing order (of 54 protection], make a new order of protection in accordance with section 55 eight hundred forty-two of this part, may order the forfeiture of bail 56 in a manner consistent with article five hundred forty of the criminal

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procedure law if bail has been ordered pursuant to this act, may order the respondent to pay the petitioner's reasonable and necessary counsel 3 fees in connection with the violation petition where the court finds that the violation of its order was willful, and may commit the respondent to jail for a term not to exceed six months. Such commitment may be served upon certain specified days or parts of days as the court may direct, and the court may, at any time within the term of such sentence, revoke such suspension and commit the respondent for the remainder of the original sentence, or suspend the remainder of such sentence. If the 10 court determines that the willful failure to obey such order involves violent behavior constituting the crimes of menacing, reckless endanger-12 ment, assault or attempted assault and if such a respondent is licensed 13 to carry, possess, repair and dispose of firearms pursuant to section 400.00 of the penal law, the court may also immediately revoke such 14 license and may arrange for the immediate surrender pursuant to subpara-1.5 16 graph (f) of paragraph one of subdivision a of section 265.20 and subdivision six of section 400.05 of the penal law, and disposal of any 18 firearm such respondent owns or possesses. If the willful failure to 19 obey such order involves the infliction of (serious) physical injury as 20 defined in subdivision [ten] nine of section 10.00 of the penal law or the use or threatened use of a deadly weapon or dangerous instrument, as those terms are defined in subdivisions twelve and thirteen of section 10.00 of the penal law, such revocation and immediate surrender pursuant to subparagraph (f) of paragraph one of subdivision a of section 265.20 and subdivision six of section 400.05 of the penal law six and disposal of any firearm owned or possessed by respondent shall be mandatory, pursuant to subdivision eleven of section 400.00 of the penal law. 27

- \$ 6. The family court act is amended by adding a new section 446-a to read as follows:
- § 446-a. Firearms; surrender and license suspension, revocation and ineligibility. Upon the issuance of an order of protection or temporary order of protection, or upon a violation of such order, the court shall make a determination regarding the suspension and revocation of a license to carry, possess, repair or dispose of a firearm or firearms, ineligibility for such a license and the surrender of firearms in accordance with section eight hundred forty-two-a of this act.
- 37 § 7. The family court act is amended by adding a new section 552 to 38 read as follows:
 - § 552. Firearms; surrender and license suspension, revocation and ineligibility. Upon the issuance of an order of protection or temporary order of protection, or upon a violation of such order, the court shall make a determination regarding the suspension and revocation of a license to carry, possess, repair or dispose of a firearm or firearms, ineligibility for such a license and the surrender of firearms in accordance with section eight hundred forty-two-a of this act.
 - § 8. The family court act is amended by adding a new section 656-a to read as follows:
 - § 656-a. Firearms; surrender and license suspension, revocation and ineligibility. Upon the issuance of an order of protection or temporary order of protection, or upon a violation of such order, the court shall make a determination regarding the suspension and revocation of a license to carry, possess, repair or dispose of a firearm or firearms, ineligibility for such a license and the surrender of firearms in accordance with section eight hundred forty-two-a of this act.
- 55 § 9. The family court act is amended by adding a new section 780-a to 56 read as follows:

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§ 780-a. Firearms; surrender and license suspension, revocation and ineligibility. Upon the issuance of an order of protection or temporary order of protection, or upon a violation of such order, the court shall make a determination regarding the suspension and revocation of a license to carry, possess, repair or dispose of a firearm or firearms, ineligibility for such a license and the surrender of firearms in accordance with section eight hundred forty-two-a of this act.

§ 10. The family court act is amended by adding a new section 1056-ato read as follows:

§ 1056-a. Firearms; surrender and license suspension, revocation and ineligibility. Upon the issuance of an order of protection or temporary order of protection, or upon a violation of such order, the court shall 13 make an order in accordance with section eight hundred forty-two-a of this act.

§ 11. The first undesignated and closing paragraphs of subdivision 3 16 of section 240 of the domestic relations law, as added by chapter 606 of the laws of 1999, are amended to read as follows:

g. Any party moving for a temporary order of protection pursuant to this subdivision during hours when the court is open shall be entitled to file such motion or pleading containing such prayer for emergency relief on the same day that such person first appears at such court, and a hearing on the motion or portion of the pleading requesting such emergency relief shall be held on the same day or the next day that the court is in session following the filing of such motion or pleading.

h. Upon issuance of an order of protection or temporary order of 26 protection or upon a violation of such order, the court [may] shall make [an order] a determination regarding the suspension and revocation of a license to carry, possess, repair or dispose of a firearm or firearms, ineligibility for such a license and the surrender of firearms in accordance with [section] sections eight hundred forty-two-a and eight hundred forty-six-a of the family court act [directing the surrender of firearms, revoking or suspending a party's firearms license, and/or 33 directing that such party be ineligible to receive a firearms license), as applicable. Upon issuance of an order of protection pursuant to this section or upon a finding of a violation thereof, the court also may direct payment of restitution in an amount not to exceed ten thousand dollars in accordance with subdivision (e) of section eight hundred forty-one of such act; provided, however, that in no case shall an order of restitution be issued where the court determines that the party against whom the order would be issued has already compensated the injured party or where such compensation is incorporated in a final judgment or settlement of the action.

§ 12. Subdivision 9 of section 252 of the domestic relations law, added by chapter 606 of the laws of 1999, is amended to read as follows: 9. Upon issuance of an order of protection or temporary order of 46 protection or upon a violation of such order, the court [may take an order) shall make a determination regarding the suspension and revocation of a license to carry, possess, repair or dispose of a firearm or firearms, ineligibility for such a license and the surrender of firearms 50 in accordance with [section] sections eight hundred forty-two-a and eight hundred forty-six-a of the family court act [directing the surren-52 der of firearms, revoking or suspending a party's firearms license, 53 and/or directing that such party be incligible to receive a firearms 54 license, as applicable. Upon issuance of an order of protection pursuant to this section or upon a finding of a violation thereof, the court 56 also may direct payment of restitution in an amount not to exceed ten

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thousand dollars in accordance with subdivision (e) of section eight hundred forty-one of such act; provided, however, that in no case shall an order of restitution be issued where the court determines that the party against whom the order would be issued has already compensated the injured party or where such compensation is incorporated in a final [judgement] judgment or settlement of the action.

§ 13. The opening paragraph and paragraph (b) of subdivision 1 of section 530.14 of the criminal procedure law, as added by chapter 644 of the laws of 1996, are amended to read as follows:

[Mandatory and permissive suspension of firearms license and ineligibility for such a license upon issuance of temporary order of protection. Whenever a temporary order of protection is issued pursuant to subdivision one of section 530.12 or subdivision one of section 530.13 of this article:

- (b) the court [may] shall where the court finds a substantial risk that the defendant may use or threaten to use a firearm unlawfully against the person or persons for whose protection the temporary order of protection is issued, suspend any such existing license possessed by the defendant, order the defendant ineligible for such a license and order the immediate surrender pursuant to subparagraph (f) of paragraph one of subdivision a of section 265.20 and subdivision six of section 400.05 of the penal law, of any or all firearms owned or possessed.
- 23 § 14. The opening paragraph and paragraph (b) of subdivision 2 of 24 section 530.14 of the criminal procedure law, as added by chapter 644 of 25 the laws of 1996, are amended to read as follows:

[Mandatory and permissive revocation] Revocation or suspension of firearms license and ineligibility for such a license upon issuance of an order of protection. Whenever an order of protection is issued pursuant to subdivision five of section 530.12 or subdivision four of section 530.13 of this article:

- (b) the court [may] shall where the court finds a substantial risk 32 that the defendant may use or threaten to use a firearm unlawfully against the person or persons for whose protection the order of 34 protection is issued, (i) revoke any such existing license possessed by the defendant, order the defendant ineligible for such a license and 36 order the immediate surrender of any or all firearms owned or possessed (ii) suspend or continue to suspend any such existing license possessed by the defendant, order the defendant ineligible for such a 39 license and order the immediate surrender pursuant to subparagraph (f) of paragraph one of subdivision a of section 265.20 and subdivision six of section 400.05 of the penal law, of any or all firearms owned or possessed.
- § 15. The opening paragraph and paragraph (b) of subdivision 3 of 44 section 530.14 of the criminal procedure law, the opening paragraph as amended by chapter 597 of the laws of 1998 and paragraph (b) as added by 46 chapter 644 of the laws of 1996, are amended to read as follows:

[Mandatory and permissive revocation] Revocation or suspension of 48 firearms license and ineligibility for such a license upon a finding of a willful failure to obey an order of protection. Whenever a defendant 50 has been found pursuant to subdivision eleven of section 530.12 or subdivision eight of section 530.13 of this article to have willfully 52 failed to obey an order of protection issued by a court of competent jurisdiction in this state or another state, territorial or tribal 54 jurisdiction, in addition to any other remedies available pursuant to subdivision eleven of section 530.12 or subdivision eight of section 56 530.13 of this article:

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- (b) the court [may] shall where the court finds a substantial risk that the defendant may use or threaten to use a firearm unlawfully against the person or persons for whose protection the order of protection was issued, (i) revoke any such existing license possessed by the defendant, order the defendant ineligible for such a license and order the immediate surrender <u>pursuant to subparagraph</u> (f) of <u>paragraph</u> one of <u>subdivision</u> a of <u>section</u> 265.20 and <u>subdivision</u> six of <u>section</u> 400.05 of the <u>penal law</u>, of any or all firearms owned or <u>possessed</u> or (ii) suspend any such existing license possessed by the defendant, order the defendant ineligible for such a license and order the immediate surrender pursuant to subparagraph (f) of paragraph one of subdivision a of section 265.20 and subdivision six of section 400.05 of the penal law, of any or all firearms owned or possessed.
- 14 § 16. Section 837 of the executive law is amended by adding a new 15 subdivision 19 to read as follows:
 - 19. Receive names and other non-clinical identifying information pursuant to section 9.46 of the mental hygiene law; provided, however, any such information shall be destroyed five years after such receipt, or pursuant to a proceeding brought under article seventy-eight of the civil practice law and rules determining that an individual is eligible for a license pursuant to section 400.00 of the penal law and otherwise permitted to possess a firearm.
- § 17. The general business law is amended by adding a new article 23 24 39-DDD to read as follows:

ARTICLE 39-DDD

PRIVATE SALE OR DISPOSAL OF FIREARMS, RIFLES AND SHOTGUNS

- Section 898. Private sale or disposal of firearms, rifles and shotquns. § 898. Private sale or disposal of firearms, rifles and shotguns. 1. In addition to any other requirements pursuant to state and federal law, all sales, exchanges or disposals of firearms, rifles or shotguns shall be conducted in accordance with this section unless such sale, exchange or disposal is conducted by a licensed importer, licensed manufacturer or licensed dealer, as those terms are defined in 18 USC § 922, when such sale, exchange or disposal is conducted pursuant to that person's federal firearms license or such sale, exchange or disposal is between members of an immediate family. For purposes of this section, "immediate family" shall mean spouses, domestic partners, children and step-children.
- 2. Before any sale, exchange or disposal pursuant to this article, a national instant criminal background check must be completed by a dealer who consents to conduct such check, and upon completion of such background check, shall complete a document, the form of which shall be approved by the superintendent of state police, that identifies and confirms that such check was performed.
- 3. All dealers shall maintain a record of such transactions conducted 46 pursuant to this section and such record shall be maintained on the premises mentioned and described in the license and shall be open at all reasonable hours for inspection by any peace officer, acting pursuant to his or her special duties, or police officer.
- 4. A dealer may require that any sale or transfer conducted pursuant 51 to this section be subject to a fee of not to exceed ten dollars per 52 transaction.
- 53 5. Any record produced pursuant to this section and any transmission thereof to any government agency shall not be considered a public record 54 for purposes of article six of the public officers law.

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- 6. Any person who knowingly violates the provisions of this article shall be guilty of a class A misdemeanor punishable as provided for in the penal law.
- § 18. Paragraph (q) of subdivision 2 of section 212 of the judiciary law, as added by chapter 491 of the laws of 2008, is amended to read as follows:
- (q) Adopt rules to require transmission, to the criminal justice information services division of the federal bureau of investigation or to the division of criminal justice services, of the name and other identifying information of each person who has a guardian appointed for him or her pursuant to any provision of state law, based on a determination that as a result of marked subnormal intelligence, mental illness, incapacity, condition or disease, he or she lacks the mental 14 capacity to contract or manage his or her own affairs. Any such records transmitted directly to the federal bureau of investigation must also be 16 transmitted to the division of criminal justice services, and any records received by the division of criminal justice services pursuant 18 to this paragraph may be checked against the statewide license and record database.
- § 19. Subdivision (j) of section 7.09 of the mental hygiene law, as added by chapter 491 of the laws of 2008, is amended to read as follows: (j) (1) The commissioner, in cooperation with other applicable state agencies, shall [be authorized to] collect, retain or modify data or records, [or to] and shall transmit such data or records: (i) to the 25 division of criminal justice services, or to the criminal justice infor-26 mation services division of the federal bureau of investigation, for the purposes of responding to queries to the national instant criminal background check system regarding attempts to purchase or otherwise take possession of firearms, as defined in 18 USC 921(a)(3), in accordance 30 with applicable federal laws or regulations, or (ii) to the division of criminal justice services, which may re-disclose such data and records only for determining whether a license issued pursuant to section 400.00 of the penal law should be denied, suspended or revoked, under subdivision eleven of such section, or for determining whether a person is no longer permitted under federal or state law to possess a firearm. Such 36 records, which may not be used for any other purpose, shall include only names and other non-clinical identifying information of persons who have been involuntarily committed to a hospital pursuant to article nine of this chapter, or section four hundred two or subdivision two of section five hundred eight of the correction law, or article seven hundred thirty or section 330.20 of the criminal procedure law or sections 322.2 or 353.4 of the family court act, or to a secure treatment facility pursuant to article ten of this chapter.
- (2) The commissioner shall establish within the office of mental health an administrative process to permit a person who has been or may be disqualified from possessing such a firearm pursuant to 18 USC 922(4)(d) or who has been or may be disqualified from continuing to have a license to carry, possess, repair, or dispose of a firearm under section 400.00 of the penal law because such person was involuntarily committed or civilly confined to a facility under the jurisdiction of 51 the commissioner, to petition for relief from that disability where such person's record and reputation are such that such person will not be 53 likely to act in a manner dangerous to public safety and where the granting of the relief would not be contrary to public safety. The commissioner shall promulgate regulations to establish the relief from 56 disabilities program, which shall include, but not be limited to,

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provisions providing for: (i) an opportunity for a disqualified person to petition for relief in writing; (ii) the authority for the agency to require that the petitioner undergo a clinical evaluation and risk assessment; and (iii) a requirement that the agency issue a decision in writing explaining the reasons for a denial or grant of relief. The denial of a petition for relief from disabilities may be reviewed de novo pursuant to the proceedings under article seventy-eight of the civil practice law and rules.

- § 20. The mental hygiene law is amended by adding a new section 9.46 to read as follows:
- 11 § 9.46 Reports of substantial risk or threat of harm by mental health 12 professionals.
 - (a) For purposes of this section, the term "mental health professional" shall include a physician, psychologist, registered nurse or licensed clinical social worker.
 - (b) Notwithstanding any other law to the contrary, when a mental health professional currently providing treatment services to a person determines, in the exercise of reasonable professional judgment, that such person is likely to engage in conduct that would result in serious harm to self or others, he or she shall be required to report, as soon as practicable, to the director of community services, or the director's designee, who shall report to the division of criminal justice services whenever he or she agrees that the person is likely to engage in such conduct. Information transmitted to the division of criminal justice services shall be limited to names and other non-clinical identifying information, which may only be used for determining whether a license issued pursuant to section 400.00 of the penal law should be suspended or revoked, or for determining whether a person is ineligible for a license issued pursuant to section 400.00 of the penal law, or is no longer permitted under state or federal law to possess a firearm.
 - (c) Nothing in this section shall be construed to require a mental health professional to take any action which, in the exercise of reasonable professional judgment, would endanger such mental health professional or increase the danger to a potential victim or victims.
 - (d) The decision of a mental health professional to disclose or not to disclose in accordance with this section, when made reasonably and in good faith, shall not be the basis for any civil or criminal liability of such mental health professional.
- § 21. Paragraph 5 of subdivision (b) of section 9.47 of the mental hygiene law is renumbered paragraph 7 and two new paragraphs 5 and 6 are 41 added to read as follows:
- (5) ensuring evaluation of the need for ongoing assisted outpatient 43 treatment pursuant to subdivision (k) of section 9.60 of this article prior to the expiration of any assisted outpatient treatment order;
 - (6) if he or she has been ordered to provide for or arrange for assisted outpatient treatment pursuant to paragraph five of subdivision (j) of section 9.60 of this article or became the appropriate director pursuant to this paragraph or subdivision (c) of section 9.48 of this article, notifying the director of community services of the new county of residence when he or she has reason to believe that an assisted outpatient has or will change his or her county of residence during the pendency of an assisted outpatient treatment order. Upon such change of residence, the director of the new county of residence shall become the appropriate director, as such term is defined in section 9.60 of this

article; and

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\$ 22. Section 9.48 of the mental hygiene law is amended by adding a new subdivision (c) to read as follows:

- (c) Directors of assisted outpatient treatment programs providing services described in paragraph one of subdivision (a) of section 9.60 of this article pursuant to any court order issued under such section shall evaluate the need for ongoing assisted outpatient treatment pursuant to subdivision (k) of section 9.60 of this article prior to the expiration of any assisted outpatient treatment order; and shall notify the director of community services of the new county of residence when he or she has reason to believe that an assisted outpatient has or will change his or her county of residence during the pendency of an assisted outpatient treatment order. Upon such change of residence, the director of the new county of residence shall become the appropriate director, as such term is defined in section 9.60 of this article.
- § 23. Paragraph 3 of subdivision (a), paragraphs 2 and 5 of subdivision (j) and subdivisions (k) and (n) of section 9.60 of the mental hygiene law, as amended by chapter 158 of the laws of 2005, are amended to read as follows:
- 19 (3) "director of community services" and "local governmental unit"
 20 shall have the same meanings as provided in article forty-one of this
 21 chapter. The "appropriate director" shall mean the director of communi22 ty services of the county where the assisted outpatient resides, even if
 23 it is a different county than the county where the assisted outpatient
 24 treatment order was originally issued.
 - (2) If after hearing all relevant evidence, the court finds by clear and convincing evidence that the subject of the petition meets the criteria for assisted outpatient treatment, and there is no appropriate and feasible less restrictive alternative, the court may order the subject to receive assisted outpatient treatment for an initial period not to exceed [six months] one year. In fashioning the order, the court shall specifically make findings by clear and convincing evidence that the proposed treatment is the least restrictive treatment appropriate and feasible for the subject. The order shall state an assisted outpatient treatment plan, which shall include all categories of assisted outpatient treatment, as set forth in paragraph one of subdivision (a) of this section, which the assisted outpatient is to receive, but shall not include any such category that has not been recommended in both the proposed written treatment plan and the testimony provided to the court pursuant to subdivision (i) of this section.
 - (5) If the petitioner is the director of a hospital that operates an assisted outpatient treatment program, the court order shall direct the hospital director to provide or arrange for all categories of assisted outpatient treatment for the assisted outpatient throughout the period of the order. [For all other persons] In all other instances, the order shall require the appropriate director [of community services of the appropriate local governmental unit], as that term is defined in this section, to provide or arrange for all categories of assisted outpatient treatment for the assisted outpatient throughout the period of the order.
 - (k) Petition for additional periods of treatment. (1) Prior to the expiration of an order pursuant to this section, the appropriate director shall review whether the assisted outpatient continues to meet the criteria for assisted outpatient treatment. If, as documented in the petition, the director determines that such criteria continue to be met or has made appropriate attempts to, but has not been successful in eliciting, the cooperation of the subject to submit to an examination,

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within thirty days prior to the expiration of an order of assisted outpatient treatment, such director may petition the court to order continued assisted outpatient treatment pursuant to paragraph two of this subdivision. Upon determining whether such criteria continue to be met, such director shall notify the program coordinator in writing as to whether a petition for continued assisted outpatient treatment is warranted and whether such a petition was or will be filed.

(2) Within thirty days prior to the expiration of an order of assisted outpatient treatment, the appropriate director or the current petitioner, if the current petition was filed pursuant to subparagraph (i) or (ii) of paragraph one of subdivision (e) of this section, and the 12 current petitioner retains his or her original status pursuant to the 13 applicable subparagraph, may petition the court to order continued 14 assisted outpatient treatment for a period not to exceed one year from 15 the expiration date of the current order. If the court's disposition of 16 such petition does not occur prior to the expiration date of the current order, the current order shall remain in effect until such disposition. 18 The procedures for obtaining any order pursuant to this subdivision shall be in accordance with the provisions of the foregoing subdivisions of this section; provided that the time restrictions included in paragraph four of subdivision (c) of this section shall not be applicable. The notice provisions set forth in paragraph six of subdivision (j) of this section shall be applicable. Any court order requiring periodic blood tests or urinalysis for the presence of alcohol or illegal drugs shall be subject to review after six months by the physician who developed the written treatment plan or another physician designated by the director, and such physician shall be authorized to terminate such blood tests or urinalysis without further action by the court.

(n) Failure to comply with assisted outpatient treatment. Where in the clinical judgment of a physician, (i) the assisted outpatient, has failed or refused to comply with the assisted outpatient treatment, (ii) efforts were made to solicit compliance, and (iii) such assisted outpatient may be in need of involuntary admission to a hospital pursuant to section 9.27 of this article or immediate observation, care and treatment pursuant to section 9.39 or 9.40 of this article, such physician 36 may request the appropriate director of community services, the director's designee, or any physician designated by the director of community 38 services pursuant to section 9.37 of this article, to direct the removal of such assisted outpatient to an appropriate hospital for an examination to determine if such person has a mental illness for which hospi-41 talization is necessary pursuant to section 9.27, 9.39 or 9.40 of this article. Furthermore, if such assisted outpatient refuses to take medi-43 cations as required by the court order, or he or she refuses to take, or 44 fails a blood test, urinalysis, or alcohol or drug test as required by 45 the court order, such physician may consider such refusal or failure 46 when determining whether the assisted outpatient is in need of an examination to determine whether he or she has a mental illness for which 48 hospitalization is necessary. Upon the request of such physician, the appropriate director, the director's designee, or any physician desig-50 nated pursuant to section 9.37 of this article, may direct peace offi-51 cers, acting pursuant to their special duties, or police officers who 52 are members of an authorized police department or force or of a sher-53 iff's department to take the assisted outpatient into custody and trans-54 port him or her to the hospital operating the assisted outpatient treat-55 ment program or to any hospital authorized by the director of community 56 services to receive such persons. Such law enforcement officials shall

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carry out such directive. Upon the request of such physician, the appropriate director, the director's designee, or any physician designated pursuant to section 9.37 of this article, an ambulance service, as defined by subdivision two of section three thousand one of the public 5 health law, or an approved mobile crisis outreach team as defined in 6 section 9.58 of this article shall be authorized to take into custody and transport any such person to the hospital operating the assisted 8 outpatient treatment program, or to any other hospital authorized by the appropriate director of community services to receive such persons. Any 10 director of community services, or designee, shall be authorized to 11 direct the removal of an assisted outpatient who is present in his or 12 her county to an appropriate hospital, in accordance with the provisions 13 of this subdivision, based upon a determination of the appropriate 14 director of community services directing the removal of such assisted 15 outpatient pursuant to this subdivision. Such person may be retained for 16 observation, care and treatment and further examination in the hospital 17 for up to seventy-two hours to permit a physician to determine whether 18 such person has a mental illness and is in need of involuntary care and 19 treatment in a hospital pursuant to the provisions of this article. Any 20 continued involuntary retention in such hospital beyond the initial 21 seventy-two hour period shall be in accordance with the provisions of 22 this article relating to the involuntary admission and retention of a 23 person. If at any time during the seventy-two hour period the person is 24 determined not to meet the involuntary admission and 25 provisions of this article, and does not agree to stay in the hospital 26 as a voluntary or informal patient, he or she must be released. Failure 27 to comply with an order of assisted outpatient treatment shall not be 28 grounds for involuntary civil commitment or a finding of contempt of court.

30 § 24. Subdivision (g) of section 13.09 of the mental hygiene law, as 31 amended by chapter 168 of the laws of 2010, is amended to read as 32 follows:

(g) (1) The commissioner, in cooperation with other applicable state 34 agencies, shall [be authorized to] collect, retain or modify data or records, [or to] and shall transmit such data or records to: (i) the 36 division of criminal justice services, or to the criminal justice information services division of the federal bureau of investigation, for the purposes of responding to queries to the national instant criminal background check system regarding attempts to purchase or otherwise take possession of firearms, as defined in 18 USC 921(a)(3), in accordance with applicable federal laws or regulations, or (ii) to the division of criminal justice services, for the purposes of determining whether a license issued pursuant to section 400.00 of the penal law should be denied, suspended or revoked, under subdivision eleven of such section, or for determining whether a person is no longer permitted under federal or state law to possess a firearm. Such records shall include only names and other non-clinical identifying information of persons who have had a guardian appointed for them pursuant to any provision of state law, based on a determination that as a result of marked subnormal intelligence, mental illness, incapacity, condition or disease, they lack the mental capacity to contract or manage their own affairs, and 52 persons who have been involuntarily committed to a facility pursuant to article fifteen of this chapter, or article seven hundred thirty or section 330.20 of the criminal procedure law or sections 322.2 or 353.4 55 of the family court act.

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(2) The commissioner shall establish within the office for people with developmental disabilities an administrative process to permit a person who has been or may be disqualified from possessing such a firearm pursuant to 18 USC 922(4)(d), or who has been or may be disqualified from continuing to have a license to carry, possess, repair, or dispose of a firearm under section 400.00 of the penal law because such person was involuntarily committed or civilly confined to a facility under the jurisdiction of the commissioner, to petition for relief from that disability where such person's record and reputation are such that such 10 person will not be likely to act in a manner dangerous to public safety and where the granting of the relief would not be contrary to public 12 safety. The commissioner shall promulgate regulations to establish the 13 relief from disabilities program, which shall include, but not be limit-14 ed to, provisions providing for: (i) an opportunity for a disqualified person to petition for relief in writing; (ii) the authority for the 16 agency to require that the petitioner undergo a clinical evaluation and risk assessment; and (iii) a requirement that the agency issue a deci-18 sion in writing explaining the reasons for a denial or grant of relief. 19 The denial of a petition for relief from disabilities may be reviewed de novo pursuant to the proceedings under article seventy-eight of the civil practice law and rules.

- § 25. Paragraph 12 of subdivision (c) of section 33.13 of the mental hygiene law, as amended by chapter 158 of the laws of 2005, is amended and a new paragraph 15 is added to read as follows:
- 12, to a director of community services as defined in article nine of 26 this chapter or his or her designee, provided that such director or his or her designee (i) requests such information in the exercise of his or her statutory functions, powers and duties pursuant to section 9.37, 9.45, 9.47, 9.48, 9.60 or 41.13 of this chapter; or (ii) the disclosure of information is required pursuant to section 9.46 of this chapter.
 - 15. to the division of criminal justice services, names and other non-clinical identifying information for the sole purpose of implementing the division's responsibilities and duties under sections 400.00 and 400.02 of the penal law.
 - § 26. Section 10.00 of the penal law is amended by adding a new subdivision 21 to read as follows:
 - 21. "Drug trafficking felony" means any of the following offenses defined in article two hundred twenty of this chapter: violation of use of a child to commit a controlled substance offense as defined in section 220.28; criminal sale of a controlled substance in the fourth degree as defined in section 220.34; criminal sale of a controlled substance in the third degree as defined in section 220.39; criminal sale of a controlled substance in the second degree as defined in section 220.41; criminal sale of a controlled substance in the first degree as defined in section 220.43; criminal sale of a controlled substance in or near school grounds as defined in section 220.44; unlawful manufacture of methamphetamine in the second degree as defined in section 220.74; unlawful manufacture of methamphetamine in the first degree as defined in section 220.75; or operating as a major trafficker as defined in section 220.77.
- § 26-a. The penal law is amended by adding a new section 60.11-a to read as follows:
- 53 § 60.11-a Authorized dispositions; certain criminal possession of a 54 weapon in the third degree offenders.
- 55 When a person is to be sentenced upon conviction of the crime of criminal possession of a weapon in the third degree as defined in subdivi-

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sion ten of section 265.02 of this chapter, the court must sentence such defendant to a determinate sentence as provided in subparagraph (ii) of paragraph (c) of subdivision three of section 70.02 of this chapter, unless a greater minimum sentence is otherwise required by another provision of this chapter.

- § 27. Paragraphs (b) and (c) of subdivision 1 of section 70.02 of the penal law, paragraph (b) as amended by chapter 148 of the laws of 2011 and paragraph (c) as amended by chapter 405 of the laws of 2010, are amended to read as follows:
- Class C violent felony offenses: an attempt to commit any of the 10 class B felonies set forth in paragraph (a) of this subdivision; aggra-11 vated criminally negligent homicide as defined in section 125.11, aggra-12 13 vated manslaughter in the second degree as defined in section 125.21, 14 aggravated sexual abuse in the second degree as defined in section 15 130.67, assault on a peace officer, police officer, fireman or emergency 16 medical services professional as defined in section 120.08, assault on a judge as defined in section 120.09, gang assault in the second degree as defined in section 120.06, strangulation in the first degree as defined 18 in section 121.13, burglary in the second degree as defined in section 19 20 140.25, robbery in the second degree as defined in section 160.10, criminal possession of a weapon in the second degree as defined in section 21 265.03, criminal use of a firearm in the second degree as defined in 23 section 265.08, criminal sale of a firearm in the second degree as 24 defined in section 265.12, criminal sale of a firearm with the aid of a 25 minor as defined in section 265.14, aggravated criminal possession of a 26 weapon as defined in section 265.19, soliciting or providing support for an act of terrorism in the first degree as defined in section 490.15, 28 hindering prosecution of terrorism in the second degree as defined in section 490.30, and criminal possession of a chemical weapon or biological weapon in the third degree as defined in section 490.37.
- (c) Class D violent felony offenses: an attempt to commit any of the 32 class C felonies set forth in paragraph (b); reckless assault of a child 33 as defined in section 120.02, assault in the second degree as defined in 34 section 120.05, menacing a police officer or peace officer as defined in 35 section 120.18, stalking in the first degree, as defined in subdivision 36 one of section 120.60, strangulation in the second degree as defined in section 121.12, rape in the second degree as defined in section 130.30, 38 criminal sexual act in the second degree as defined in section 130.45, 39 sexual abuse in the first degree as defined in section 130.65, course of 40 sexual conduct against a child in the second degree as defined in 41 section 130.80, aggravated sexual abuse in the third degree as defined 42 in section 130.66, facilitating a sex offense with a controlled 43 substance as defined in section 130.90, criminal possession of a weapon in the third degree as defined in subdivision five, six, seven {or}, eight, nine or ten of section 265.02, criminal sale of a firearm in the third degree as defined in section 265.11, intimidating a victim or witness in the second degree as defined in section 215.16, soliciting or providing support for an act of terrorism in the second degree as defined in section 490.10, and making a terroristic threat as defined in section 490.20, falsely reporting an incident in the first degree as defined in section 240.60, placing a false bomb or hazardous substance in the first degree as defined in section 240.62, placing a false bomb 53 or hazardous substance in a sports stadium or arena, mass transportation facility or enclosed shopping mall as defined in section 240.63, and aggravated unpermitted use of indoor pyrotechnics in the first degree as 56 defined in section 405.18.

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§ 28. The opening paragraph of paragraph (c) of subdivision 2 of section 70.02 of the penal law, as amended by chapter 764 of the laws of 2005, is amended to read as follows:

Except as provided in subdivision six of section 60.05, the sentence 5 imposed upon a person who stands convicted of the class D violent felony offenses of criminal possession of a weapon in the third degree as defined in subdivision [four,] five, seven $[or]_{\perp}$ eight or nine of 7 section 265.02, criminal sale of a firearm in the third degree as 9 defined in section 265.11 or the class E violent felonies of attempted 10 criminal possession of a weapon in the third degree as defined in subdivision [four,] five, seven [or], eight or nine of section 265.02 must be 12 a sentence to a determinate period of imprisonment, or, in the alterna-13 tive, a definite sentence of imprisonment for a period of no less than 14 one year, except that:

- § 29. Paragraph (b) of subdivision 3 of section 70.02 of the penal 16 law, as amended by chapter 765 of the laws of 2005, is amended to read 17 as follows:
- (b) For a class C felony, the term must be at least three and one-half 19 years and must not exceed fifteen years, provided, however, that the 20 term must be: (i) at least seven years and must not exceed twenty years 21 where the sentence is for the crime of aggravated manslaughter in the 22 second degree as defined in section 125.21 of this chapter; (ii) at 23 least seven years and must not exceed twenty years where the sentence is 24 for the crime of attempted aggravated assault upon a police officer or 25 peace officer as defined in section 120.11 of this chapter; [and] (iii) at least three and one-half years and must not exceed twenty years where the sentence is for the crime of aggravated criminally negligent homicide as defined in section 125.11 of this chapter; and (iv) at least five years and must not exceed fifteen years where the sentence is imposed for the crime of aggravated criminal possession of a weapon as defined in section 265.19 of this chapter;
- § 30. Paragraph (c) of subdivision 3 of section 70.02 of the penal 32 law, as amended by chapter 765 of the laws of 2005, is amended to read 33 34 as follows:
 - (c) For a class D felony, the term must be at least two years and must not exceed seven years, provided, however, that the term must be: (i) at least two years and must not exceed eight years where the sentence is for the crime of menacing a police officer or peace officer as defined in section 120.18 of this chapter; and (ii) at least three and one-half years and must not exceed seven years where the sentence is imposed for the crime of criminal possession of a weapon in the third degree as defined in subdivision ten of section 265.02 of this chapter;
- § 31. The penal law is amended by adding a new section 115.20 to read 43 44 as follows:
- § 115.20 Criminal facilitation; definitions and construction.

For purposes of this article, such conduct shall include, but not be limited to, making available, selling, exchanging, giving or disposing of a community gun, which in fact, aids a person to commit a crime. "Community gun" shall mean a firearm that is actually shared, made available, sold, exchanged, given or disposed of among or between two or more persons, at least one of whom is not authorized pursuant to law to 52 possess such firearm. "Dispose of" shall have the same meaning as that 53 term is defined in section 265.00 of this chapter. "Share" and "make 54 available" shall, in the case of a firearm, be construed to include 55 knowingly placing such firearm at a location accessible and known to one 56 or more other persons.

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§ 32. Section 120.05 of the penal law is amended by adding a new 1 subdivision 4-a to read as follows:

- 4-a. He recklessly causes physical injury to another person who is a 4 child under the age of eighteen by intentional discharge of a firearm, 5 rifle or shotgun; or
 - 33. Sections 34, 35 and 36 of this act shall be known and may be cited as "Mark's Law".
- 8 \$ 34. The opening paragraph of subdivision 1 of section 125.26 of the Q penal law, as added by chapter 765 of the laws of 2005, is amended to 10 read as follows:
- With intent to cause the death of another person, he or she causes the 12 death of such person, or of a third person who was a person described in subparagraph (i), (ii), (ii-a) or (iii) of paragraph (a) of this subdivision engaged at the time of the killing in the course of performing his or her official duties; and
 - § 35. Paragraph (a) of subdivision 1 of section 125.26 of the penal law is amended by adding a new subparagraph (ii-a) to read as follows:
- (ii-a) the intended victim was a firefighter, emergency medical tech-19 nician, ambulance driver, paramedic, physician or registered nurse 20 involved in a first response team, or any other individual who, in the course of official duties, performs emergency response activities and was engaged in such activities at the time of killing and the defendant 23 knew or reasonably should have known that the intended victim was such firefighter, emergency medical technician, ambulance driver, paramedic, physician or registered nurse; or
 - 36. Paragraph (a) of subdivision 1 of section 125.27 of the penal law is amended by adding a new subparagraph (ii-a) to read as follows:
 - (ii-a) the intended victim was a firefighter, emergency medical technician, ambulance driver, paramedic, physician or registered nurse involved in a first response team, or any other individual who, in the course of official duties, performs emergency response activities and was engaged in such activities at the time of killing and the defendant knew or reasonably should have known that the intended victim was such firefighter, emergency medical technician, ambulance driver, paramedic, physician or registered nurse; or
 - § 37. Subdivision 22 of section 265.00 of the penal law, as added by chapter 189 of the laws of 2000, is amended to read as follows:
 - 22. "Assault weapon" means ((a) a semiautomatic rifle that has an ability to accept a detachable magazine and has at least two of the following characteristics:
 - (i) a folding or telescoping stock;
- 42 (ii) a pistol grip that protrudes conspicuously beneath the action of 43 the weapon;
- 44 (iii) a bayonet mount;

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- 45 (iv) a flash suppressor or threaded barrel designed to accommodate a 46 flash suppressor,
- (v) a grenade launcher, or 47
- 48 (b) a semiautomatic shotgun that has at least two of the following 49 characteristics:
- (i) a folding or telescoping stock;
- 51 (ii) a pistol grip that protrudes conspicuously beneath the action of 52 the weapon;
- 53 (iii) a fixed magazine capacity in excess of five rounds/
- 54 (iv) an ability to accept a detachable magazine; or
- 55 (c) a semiautomatic pistol that has an ability to accept a detachable 56 magazine and has at least two of the following characteristics:

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(i) an ammunition magazine that attaches to the pistol outside of the
       (ii) a threaded barrel capable of accepting a barrel extender, flash
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     suppressor, forward handgrip, or silencer,
       (iii) a shroud that is attached to, or partially or completely encir-
     cles, the barrel and that permits the shooter to hold the firearm with
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     the nontrigger hand without being burned,
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       (iv) a manufactured weight of fifty ounces or more when the pistol is
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       (v) a semiautomatic version of an automatic rifle, shotgun or firearm;
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       (d) any of the weapons, or functioning frames or receivers of such
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    weapons, or copies or duplicates of such weapons, in any caliber, known
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      (i) Norinco, Mitchell, and Poly Technologies Avtomat Kalashnikovs (all
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    models);
       (ii) Action Arms Israeli Military Industries UZI and Galil;
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       (iii) Beretta Ar70 (SC-70);
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      (iv) Colt AR-15,
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      (v) Fabrique National FN/FAL, FN/LAR, and FNC;
      (vi) SWD M-10, M-11, M-11/9, and M-12,
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      (vii) Steyr AUG,
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      (viii) INTRATEC TEC 9, TEC DC9 and TEC 22; and
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      (ix) revolving cylinder shotguns, such as (or similar to) the Street
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      (e) provided, however, that such term does not include: (i) any rifle,
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    shotgun or pistol that (A) is manually operated by bolt; pump; lever or
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    slide action; (B) has been rendered permanently inoperable; or (C) is an
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    antique fitearm as defined in 10 U.S.C. 921(a)(16),
      (ii) a semiautomatic rifle that cannot accept a detachable magazine
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   that holds more than five rounds of ammunition;
      (iii) a semiautomatic shotgun that cannot hold more than five rounds
   of ammunition in a fixed or detachable magazine;
      (iv) a rifle, shotgum or pistol, or a replica or a duplicate thereof,
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   specified in Appendix A to section 922 of 10 U.S.C. as such weapon was
36 manufactured on October first, nineteen hundred ninety-three. The mere
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   fact that a weapon is not listed in Appendix A shall not be construed to
   mean that such weapon is an assault weapon, or
     (v) a semiautomatic rifle, a semiautomatic shotgun or a semiautomatic
40 pistol or any of the weapons defined in paragraph (d) of this subdivi-
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    sion lawfully possessed prior to September fourteenth, nineteen hundred
42 ninety four.]
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      (a) a semiautomatic rifle that has an ability to accept a detachable
   magazine and has at least one of the following characteristics:
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      (i) a folding or telescoping stock;
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      (ii) a pistol grip that protrudes conspicuously beneath the action of
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     (iii) a thumbhole stock;
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     (iv) a second handgrip or a protruding grip that can be held by the
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   non-trigger hand;
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     (v) a bayonet mount;
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      (vi) a flash suppressor, muzzle break, muzzle compensator, or threaded
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   barrel designed to accommodate a flash suppressor, muzzle break, or
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   muzzle compensator;
     (vii) a grenade launcher; or
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- (b) a semiautomatic shotgun that has at least one of the following 1 characteristics:
 - (i) a folding or telescoping stock;
 - (ii) a thumbhole stock;

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- 5 (iii) a second handgrip or a protruding grip that can be held by the 6 non-trigger hand;
 - (iv) a fixed magazine capacity in excess of seven rounds;
- 8 (v) an ability to accept a detachable magazine; or
- 9 (c) a semiautomatic pistol that has an ability to accept a detachable magazine and has at least one of the following characteristics: 10
- 11 (i) a folding or telescoping stock;
- (ii) a thumbhole stock; 12
- (iii) a second handgrip or a protruding grip that can be held by the 13 14 non-trigger hand;
- (iv) capacity to accept an ammunition magazine that attaches to the pistol outside of the pistol grip;
- (v) a threaded barrel capable of accepting a barrel extender, flash suppressor, forward handgrip, or silencer;
- (vi) a shroud that is attached to, or partially or completely encircles, the barrel and that permits the shooter to hold the firearm with the non-trigger hand without being burned;
- (vii) a manufactured weight of fifty ounces or more when the pistol is unloaded; or
- (viii) a semiautomatic version of an automatic rifle, shotgun or 24 25 firearm;
- 26 (d) a revolving cylinder shotgun;
- 27 (e) a semiautomatic rifle, a semiautomatic shotgun or a semiautomatic 28 pistol or weapon defined in subparagraph (v) of paragraph (e) of subdivision twenty-two of section 265.00 of this chapter as added by chapter one hundred eighty-nine of the laws of two thousand and otherwise lawfully possessed pursuant to such chapter of the laws of two thousand prior to September fourteenth, nineteen hundred ninety-four;
- (f) a semiautomatic rifle, a semiautomatic shotqun or a semiautomatic 34 pistol or weapon defined in paragraph (a), (b) or (c) of this subdivision, possessed prior to the date of enactment of the chapter of the laws of two thousand thirteen which added this paragraph;
 - (g) provided, however, that such term does not include:
- (i) any rifle, shotgun or pistol that (A) is manually operated by 39 bolt, pump, lever or slide action; (B) has been rendered permanently inoperable; or (C) is an antique firearm as defined in 18 U.S.C. 921 (a) (16);
- (ii) a semiautomatic rifle that cannot accept a detachable magazine 43 that holds more than five rounds of ammunition;
 - (111) a semiautomatic shotgun that cannot hold more than five rounds of ammunition in a fixed or detachable magazine; or
- (iv) a rifle, shotgun or pistol, or a replica or a duplicate thereof, 46 47 specified in Appendix A to 18 U.S.C. 922 as such weapon was manufactured on October first, nineteen hundred ninety-three. The mere fact that a weapon is not listed in Appendix A shall not be construed to mean that such weapon is an assault weapon;
- (v) any weapon validly registered pursuant to subdivision sixteen-a of section 400.00 of this chapter. Such weapons shall be subject to the provisions of paragraph (h) of this subdivision;
- 54 (vi) any firearm, rifle, or shotgun that was manufactured at least fifty years prior to the current date, but not including replicas there-

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of that is validly registered pursuant to subdivision sixteen-a of section 400.00 of this chapter;

(h) Any weapon defined in paragraph (e) or (f) of this subdivision and any large capacity ammunition feeding device that was legally possessed by an individual prior to the enactment of the chapter of the laws of two thousand thirteen which added this paragraph, may only be sold to, exchanged with or disposed of to a purchaser authorized to possess such weapons or to an individual or entity outside of the state provided that any such transfer to an individual or entity outside of the state must be reported to the entity wherein the weapon is registered within seventy-two hours of such transfer. An individual who transfers any such weapon or large capacity ammunition device to an individual inside New 13 York state or without complying with the provisions of this paragraph shall be quilty of a class A misdemeanor unless such large capacity ammunition feeding device, the possession of which is made illegal by 16 the chapter of the laws of two thousand thirteen which added this paragraph, is transferred within one year of the effective date of the chapter of the laws of two thousand thirteen which added this paragraph.

19 § 38. Subdivision 23 of section 265.00 of the penal law, as added by 20 chapter 189 of the laws of 2000, is amended to read as follows:

23. "Large capacity ammunition feeding device" means a magazine, belt, drum, feed strip, or similar device, [manufactured after September thir teenth, nineteen hundred ninety four, that (a) has a capacity of, or that can be readily restored or converted to accept, more than ten rounds of ammunition, or (b) contains more than seven rounds of ammunition, or (c) is obtained after the effective date of the chapter of the laws of two thousand thirteen which amended this subdivision and has a capacity of, or that can be readily restored or converted to accept, 29 more than seven rounds of ammunition; provided, however, that such term does not include an attached tubular device designed to accept, and capable of operating only with, .22 caliber rimfire ammunition or a 32 feeding device that is a curio or relic. A feeding device that is a curio or relic is defined as a device that (i) was manufactured at least fifty years prior to the current date, (ii) is only capable of being used exclusively in a firearm, rifle, or shotgun that was manufactured 36 at least fifty years prior to the current date, but not including replicas thereof, (iii) is possessed by an individual who is not prohibited by state or federal law from possessing a firearm and (iv) is registered with the division of state police pursuant to subdivision sixteen-a of section 400.00 of this chapter, except such feeding devices transferred into the state may be registered at any time, provided they are registered within thirty days of their transfer into the state. Notwithstanding paragraph (h) of subdivision twenty-two of this section, such feeding devices may be transferred provided that such transfer shall be subject to the provisions of section 400.03 of this chapter including the check required to be conducted pursuant to such section.

39. Section 265.00 of the penal law is amended by adding a new 47 subdivision 24 to read as follows:

24. "Seller of ammunition" means any person, firm, partnership, corporation or company who engages in the business of purchasing, selling or keeping ammunition.

§ 40. Section 265.01 of the penal law, as added by chapter 1041 of the laws of 1974, subdivision 1 as amended by chapter 257 of the laws of 2008, subdivision 2 as amended by chapter 220 of the laws of 1988, subdivision 3 as amended by chapter 199 of the laws of 2006, subdivision 56 4 as amended by chapter 357 of the laws of 2011, subdivision 7 as added

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by chapter 807 of the laws of 1981, and subdivision 8 as added by chapter 646 of the laws of 1986, is amended to read as follows:

§ 265.01 Criminal possession of a weapon in the fourth degree.

- A person is guilty of criminal possession of a weapon in the fourth degree when:
- (1) He or she possesses any firearm, electronic dart gun, stun gun, gravity knife, switchblade knife, pilum ballistic knife, metal knuckle knife, cane sword, billy, blackjack, bludgeon, plastic knuckles, metal knuckles, chuka stick, sand bag, sandclub, wrist-brace type slingshot or slungshot, shirken or "Kung Fu star"; or
- 11 (2) He possesses any dagger, dangerous knife, dirk, razor, stiletto, 12 imitation pistol, or any other dangerous or deadly instrument or weapon 13 with intent to use the same unlawfully against another; or
- (3) [He or she knowingly has in his or her possession a rifle, shotgun or firearm in or upon a building or grounds, used for educational 16 purposes, of any school, college or university, except the forestry 17 lands, wherever located, owned and maintained by the State University of 18 New York college of environmental science and forestry, or upon a school 19 bus as defined in section one hundred forty-two of the vehicle and traf-20 fic law, without the written authorization of such educational institution]; or
- (4) He possesses a rifle, shotqun, antique firearm, black powder 23 rifle, black powder shotgun, or any muzzle-loading firearm, and has been convicted of a felony or serious offense; or
- (5) He possesses any dangerous or deadly weapon and is not a citizen 26 of the United States; or
- (6) He is a person who has been certified not suitable to possess a 28 rifle or shotgun, as defined in subdivision sixteen of section 265.00, 29 and refuses to yield possession of such rifle or shotgun upon the demand 30 of a police officer. Whenever a person is certified not suitable to 31 possess a rifle or shotgun, a member of the police department to which such certification is made, or of the state police, shall forthwith 33 seize any rifle or shotgun possessed by such person. A rifle or shotgun seized as herein provided shall not be destroyed, but shall be delivered 35 to the headquarters of such police department, or state police, and 36 there retained until the aforesaid certificate has been rescinded by the director or physician in charge, or other disposition of such rifle or shotgun has been ordered or authorized by a court of competent jurisdiction.
 - (7) He knowingly possesses a bullet containing an explosive substance designed to detonate upon impact.
 - (8) He possesses any armor piercing ammunition with intent to use the same unlawfully against another.
 - Criminal possession of a weapon in the fourth degree is a class A misdemeanor.
- § 41. The penal law is amended by adding a new section 265.01-a to 46 47 read as follows:
- 48 § 265.01-a. Criminal possession of a weapon on school grounds.
- A person is quilty of criminal possession of a weapon on school grounds when he or she knowingly has in his or her possession a rifle, shotgun, or firearm in or upon a building or grounds, used for educational purposes, of any school, college, or university, except the forestry lands, wherever located, owned and maintained by the State University of New York college of environmental science and forestry, or upon a school bus as defined in section one hundred forty-two of the

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vehicle and traffic law, without the written authorization of such educational institution.

3 Criminal possession of a weapon on school grounds is a class E felony. 4 § 41-a. The penal law is amended by adding a new section 265.01-b to-5 read as follows:

§ 265.01-b Criminal possession of a firearm. 7

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A person is quilty of criminal possession of a firearm when he or she: (1) possesses any firearm or; (2) lawfully possesses a firearm prior to the effective date of the chapter of the laws of two thousand thirteen which added this section subject to the registration requirements of subdivision sixteen-a of section 400.00 of this chapter and knowingly fails to register such firearm pursuant to such subdivision.

Criminal possession of a firearm is a class E felony.

- § 41-b. Subdivision 8 of section 265.02 of the penal law, as amended 15 by chapter 764 of the laws of 2005, is amended and two new subdivisions 9 and 10 are added to read as follows:
 - (8) Such person possesses a large capacity ammunition feeding device. For purposes of this subdivision, a large capacity ammunition feeding device shall not include an ammunition feeding device lawfully possessed by such person before the effective date of the chapter of the laws of two thousand thirteen which amended this subdivision, that has a capacity of, or that can be readily restored or converted to accept more than seven but less than eleven rounds of ammunition, or that was manufactured before September thirteenth, nineteen hundred ninety-four, that has a capacity of, or that can be readily restored or converted to accept, more than ten rounds of ammunition; or
 - (9) Such person possesses an unloaded firearm and also commits a drug trafficking felony as defined in subdivision twenty-one of section 10.00 of this chapter as part of the same criminal transaction; or
 - (10) Such person possesses an unloaded firearm and also commits any violent felony offense as defined in subdivision one of section 70.02 of this chapter as part of the same criminal transaction.
 - § 42. Subdivision 2 of section 265.09 of the penal law, as added by chapter 650 of the laws of 1996, is amended to read as follows:
- (2) Sentencing. Notwithstanding any other provision of law to the 36 contrary, when a person is convicted of criminal use of a firearm in the first degree as defined in subdivision one of this section, the court 38 shall impose an additional consecutive sentence of five years to the [minimum term of an indeterminate] sentence imposed on the underlying 40 class B violent felony offense where the person convicted of such crime displays a loaded weapon from which a shot, readily capable of producing death or other serious injury may be discharged, in furtherance of the commission of such crime, provided, however, that such additional sentence shall not be imposed if the court, having regard to the nature and circumstances of the crime and to the history and character of the defendant, finds on the record that such additional consecutive sentence would be unduly harsh and that not imposing such sentence would be consistent with the public safety and would not deprecate the seriousness of the crime. Notwithstanding any other provision of law to the contrary, the aggregate of the five year consecutive term imposed pursuant to this subdivision and the minimum term of the indeterminate sentence imposed on the underlying class B violent felony shall constitute the new aggregate minimum term of imprisonment, and a person subject to such term shall be required to serve the entire aggregate 55 minimum term and shall not be eligible for release on parole or condi-56 tional release during such term. This subdivision shall not apply where

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the defendant's criminal liability for displaying a loaded weapon from which a shot, readily capable of producing death or other serious injury may be discharged, in furtherance of the commission of crime is based on the conduct of another pursuant to section 20.00 of [the penal law] this 5 chapter.

- § 43. Section 265.17 of the penal law, as added by chapter 189 of the laws of 2000, is amended to read as follows:
- 8 § 265.17 Criminal purchase or disposal of a weapon.
- 9 A person is guilty of criminal purchase or disposal of a weapon when:
- 10 1. Knowing that he or she is prohibited by law from possessing a 11 firearm, rifle or shotgun because of a prior conviction or because of 12 some other disability which would render him or her ineligible to 13 lawfully possess a firearm, rifle or shotgun in this state, such person [attempts to purchase] purchases a firearm, rifle or shotgun from anoth-15 er person; or
- 2. Knowing that it would be unlawful for another person to possess a 17 firearm, rifle or shotqun, he or she purchases a firearm, rifle or shotgun for, on behalf of, or for the use of such other person $\{\pm\}$; or
- 3. Knowing that another person is prohibited by law from possessing a firearm, rifle or shotgun because of a prior conviction or because of some other disability which would render him or her ineligible to lawfully possess a firearm, rifle or shotgun in this state, a person disposes of a firearm, rifle or shotgun to such other person.
- 24 Criminal purchase or disposal of a weapon is a class [A misdemeanor] D 25 felony.
 - § 44. Intentionally omitted.

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- § 45. The penal law is amended by adding a new section 265.19 to read 27 28 as follows:
- 29 S 265.19 Aggravated criminal possession of a weapon.
- A person is guilty of aggravated criminal possession of a weapon when 31 he or she commits the crime of criminal possession of a weapon in the second degree as defined in subdivision three of section 265.03 of this article and also commits any violent felony offense as defined in subdivision one of section 70.02 of this chapter or a drug trafficking felony as defined in subdivision twenty-one of section 10.00 of this chapter arising out of the same criminal transaction.

Aggravated criminal possession of a weapon is a class C felony.

- § 46. Paragraph 3 of subdivision a of section 265.20 of the penal law, as amended by chapter 210 of the laws of 1999, is amended and a new paragraph 7-f is added to read as follows:
- 3. Possession of a pistol or revolver by a person to whom a license therefor has been issued as provided under section 400.00 or 400.01 of this chapter or possession of a weapon as defined in paragraph (e) or (f) of subdivision twenty-two of section 265.00 of this article which is registered pursuant to paragraph (a) of subdivision sixteen-a of section 400.00 of this chapter or is included on an amended license issued pursuant to section 400.00 of this chapter. In the event such license is revoked, other than because such licensee is no longer permitted to possess a firearm, rifle or shotgun under federal or state law, information sufficient to satisfy the requirements of subdivision sixteen-a of section 400.00 of this chapter, shall be transmitted by the licensing officer to the state police, in a form as determined by the superintendent of state police. Such transmission shall constitute a valid registration under such section. Further provided, notwithstanding any other section of this title, a failure to register such weapon by an individ-56 ual who possesses such weapon before the enactment of the chapter of the

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laws of two thousand thirteen which amended this paragraph and may so lawfully possess it thereafter upon registration, shall only be subject to punishment pursuant to paragraph (c) of subdivision sixteen-a of section 400.00 of this chapter; provided, that such a license or registration shall not preclude a conviction for the offense defined in subdivision three of section 265.01 of this article or section 265.01-a 7 of this article.

7-f. Possession and use of a magazine, belt, feed strip or similar device, that contains more than seven rounds of ammunition, but that does not have a capacity of or can readily be restored or converted to accept more than ten rounds of ammunition, at an indoor or outdoor firing range located in or on premises owned or occupied by a duly incorporated organization organized for conservation purposes or to foster proficiency in arms; at an indoor or outdoor firing range for the purpose of firing a rifle or shotgun; at a collegiate, olympic or target shooting competition under the auspices of or approved by the national rifle association; or at an organized match sanctioned by the International Handgun Metallic Silhouette Association.

§ 46-a. The penal law is amended by adding two new sections 265.36 and 265.37 to read as follows:

21 § 265.36 Unlawful possession of a large capacity ammunition feeding 22 device.

It shall be unlawful for a person to knowingly possess a large capacity ammunition feeding device manufactured before September thirteenth, nineteen hundred ninety-four, and if such person lawfully possessed such large capacity feeding device before the effective date of the chapter of the laws of two thousand thirteen which added this section, that has a capacity of, or that can be readily restored or converted to accept, more than ten rounds of ammunition.

An individual who has a reasonable belief that such device is of such a character that it may lawfully be possessed and who surrenders or lawfully disposes of such device within thirty days of being notified by law enforcement or county licensing officials that such possession is unlawful shall not be guilty of this offense. It shall be a rebuttable presumption that such person knows that such large capacity ammunition feeding device may not be lawfully possessed if he or she has been contacted by law enforcement or county licensing officials and informed that such device may not be lawfully possessed.

Unlawful possession of a large capacity ammunition feeding device is a class A misdemeanor.

§ 265.37 Unlawful possession of certain ammunition feeding devices.

It shall be unlawful for a person to knowingly possess an ammunition feeding device that such person lawfully possessed before the effective date of the chapter of the laws of two thousand thirteen which added this section, that has a capacity of, or that can be readily restored or converted to accept more than seven but less than ten rounds of ammunition, where such device contains more than seven rounds of ammunition.

If such device containing more than seven rounds of ammunition is 49 possessed within the home of the possessor, the person so possessing the device shall, for a first offense, be quilty of a violation and subject to a fine of two hundred dollars, and for a second offense, be quilty of a class B misdemeanor and subject to a fine of two hundred dollars and a term of up to three months imprisonment.

54 If such device containing more than seven rounds of ammunition is 55 possessed in any location other than the home of the possessor, the person so possessing the device shall, for a first offense, be guilty of

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a class B misdemeanor and subject to a fine of two hundred dollars and a term of up to six months imprisonment, and for a second offense, be guilty of a class A misdemeanor.

§ 47. The penal law is amended by adding a new section 265.45 to read as follows:

6 § 265.45 Safe storage of rifles, shotguns, and firearms.

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No person who owns or is custodian of a rifle, shotgun or firearm who resides with an individual who such person knows or has reason to know is prohibited from possessing a firearm pursuant to 18 U.S.C. \$ 922(g) (1), (4), (8) or (9) shall store or otherwise leave such rifle, shotgun or firearm out of his or her immediate possession or control without having first securely locked such rifle, shotgun or firearm in an appro-12 13 priate safe storage depository or rendered it incapable of being fired 14 by use of a gun locking device appropriate to that weapon. For purposes 15 of this section "safe storage depository" shall mean a safe or other 16 secure container which, when locked, is incapable of being opened without the key, combination or other unlocking mechanism and is capable of 18 preventing an unauthorized person from obtaining access to and 19 possession of the weapon contained therein. With respect to a person who is prohibited from possessing a firearm pursuant to 18 USC § 922(g)(9), for purposes of this section, this section applies only if 22 such person has been convicted of a crime included in subdivision one of section 370.15 of the criminal procedure law and such gun is possessed within five years from the later of the date of conviction or completion of sentence.

A violation of this section shall constitute a class A misdemeanor.

§ 48. Subdivision 1, paragraph (a) of subdivision 3, subdivisions 4, 5, 9, 10, 11, 12 and 15 of section 400.00 of the penal law, subdivision 1 as amended by chapter 189 of the laws of 2000, paragraph (a) of subdivision 3 as designated by chapter 778 of the laws of 1985, subdivision 4 as amended by chapter 331 of the laws of 2005, subdivision 5 as amended by chapter 332 of the laws of 1994, subdivision 9 as amended by chapter 172 of the laws of 1973, subdivision 10 as amended by chapter 447 of the laws of 1997, subdivision 11 as amended by chapter 210 of the laws of 1999, and subdivision 12 as amended by chapter 449 of the laws of 1993, are amended and two new subdivisions 16-a and 16-b are added to read as follows:

1. Eliqibility. No license shall be issued or renewed pursuant to this section except by the licensing officer, and then only after investigation and finding that all statements in a proper application for a license are true. No license shall be issued or renewed except for an applicant (a) twenty-one years of age or older, provided, however, that where such applicant has been honorably discharged from the United States army, navy, marine corps, air force or coast guard, or the national guard of the state of New York, no such age restriction shall apply; (b) of good moral character; (c) who has not been convicted anywhere of a felony or a serious offense; (d) who is not a fugitive from justice; (e) who is not an unlawful user of or addicted to any controlled substance as defined in section 21 U.S.C. 802; (f) who being an alien (i) is not illegally or unlawfully in the United States or (ii) has not been admitted to the United States under a nonimmigrant visa subject to the exception in 18 U.S.C. 922(y)(2); (g) who has not been discharged from the Armed Forces under dishonorable conditions; (h) who, 54 having been a citizen of the United States, has not renounced his or her citizenship; (i) who has stated whether he or she has ever suffered any 56 mental illness {or been confined to any hospital or institution; public

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or private, for mental illness]; (j) who has not been involuntarily committed to a facility under the jurisdiction of an office of the department of mental hygiene pursuant to article nine or fifteen of the mental hygiene law, article seven hundred thirty or section 330.20 of the criminal procedure law, section four hundred two or five hundred eight of the correction law, section 322.2 or 353.4 of the family court act, or has not been civilly confined in a secure treatment facility pursuant to article ten of the mental hygiene law; [(e)] (k) who has not had a license revoked or who is not under a suspension or ineligibility 9 10 order issued pursuant to the provisions of section 530.14 of the criminal procedure law or section eight hundred forty-two-a of the family 11 court act; [+f+] (1) in the county of Westchester, who has successfully 12 13 completed a firearms safety course and test as evidenced by a certif-14 icate of completion issued in his or her name and endorsed and affirmed 15 under the penalties of perjury by a duly authorized instructor, except 16 that: (i) persons who are honorably discharged from the United States army, navy, marine corps or coast guard, or of the national guard of the 18 state of New York, and produce evidence of official qualification in firearms during the term of service are not required to have completed 20 those hours of a firearms safety course pertaining to the safe use, carrying, possession, maintenance and storage of a firearm; and (ii) 22 persons who were licensed to possess a pistol or revolver prior to the 23 effective date of this paragraph are not required to have completed a 24 firearms safety course and test; [and (g)] (m) who has not had a guardi-25 an appointed for him or her pursuant to any provision of state law, 26 based on a determination that as a result of marked subnormal intelligence, mental illness, incapacity, condition or disease, he or she lacks 28 the mental capacity to contract or manage his or her own affairs; and (n) concerning whom no good cause exists for the denial of the license. 30 No person shall engage in the business of gunsmith or dealer in firearms 31 unless licensed pursuant to this section. An applicant to engage in such 32 business shall also be a citizen of the United States, more than twen-33 ty-one years of age and maintain a place of business in the city or county where the license is issued. For such business, if the applicant 35 is a firm or partnership, each member thereof shall comply with all of 36 the requirements set forth in this subdivision and if the applicant is a corporation, each officer thereof shall so comply.

(a) Applications shall be made and renewed, in the case of a license to carry or possess a pistol or revolver, to the licensing officer in the city or county, as the case may be, where the applicant resides, is principally employed or has his or her principal place of business as merchant or storekeeper; and, in the case of a license as gunsmith or dealer in firearms, to the licensing officer where such place of business is located. Blank applications shall, except in the city of New York, be approved as to form by the superintendent of state police. application shall state the full name, date of birth, residence, present occupation of each person or individual signing the same, whether or not he or she is a citizen of the United States, whether or not he or she complies with each requirement for eligibility specified in subdivision one of this section and such other facts as may be required to show the good character, competency and integrity of each person or individual signing the application. An application shall be signed and verified by the applicant. Each individual signing an application shall submit one photograph of himself or herself and a duplicate for each required copy of the application. Such photographs shall have been taken within thirty 56 days prior to filing the application. In case of a license as gunsmith

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or dealer in firearms, the photographs submitted shall be two inches square, and the application shall also state the previous occupation of each individual signing the same and the location of the place of such business, or of the bureau, agency, subagency, office or branch office for which the license is sought, specifying the name of the city, town or village, indicating the street and number and otherwise giving such apt description as to point out reasonably the location thereof. In such case, if the applicant is a firm, partnership or corporation, its name. 9 date and place of formation, and principal place of business shall be stated. For such firm or partnership, the application shall be signed 10 and verified by each individual composing or intending to compose the 11 same, and for such corporation, by each officer thereof. 12 4. Investigation. Before a license is issued or renewed, there shall

13 be an investigation of all statements required in the application by the duly constituted police authorities of the locality where such applica-16 tion is made, including but not limited to such records as may be accessible to the division of state police or division of criminal justice 18 services pursuant to section 400.02 of this article. For that purpose, 19 the records of the appropriate office of the department of mental 20 hygiene concerning previous or present mental illness of the applicant shall be available for inspection by the investigating officer of the 22 police authority. In order to ascertain any previous criminal record, 23 the investigating officer shall take the fingerprints and physical 24 descriptive data in quadruplicate of each individual by whom the appli-25 cation is signed and verified. Two copies of such fingerprints shall be 26 taken on standard fingerprint cards eight inches square, and one copy 27 may be taken on a card supplied for that purpose by the federal bureau 28 of investigation; provided, however, that in the case of a corporate 29 applicant that has already been issued a dealer in firearms license and 30 seeks to operate a firearm dealership at a second or subsequent 31 location, the original fingerprints on file may be used to ascertain any 32 criminal record in the second or subsequent application unless any of 33 the corporate officers have changed since the prior application, in 34 which case the new corporate officer shall comply with procedures governing an initial application for such license. When completed, one 36 standard card shall be forwarded to and retained by the division of 37 criminal justice services in the executive department, at Albany. A 38 search of the files of such division and written notification of the 39 results of the search to the investigating officer shall be made without 40 unnecessary delay. Thereafter, such division shall notify the licensing 41 officer and the executive department, division of state police, Albany, of any criminal record of the applicant filed therein subsequent to the 43 search of its files. A second standard card, or the one supplied by the federal bureau of investigation, as the case may be, shall be forwarded to that bureau at Washington with a request that the files of the bureau 46 be searched and notification of the results of the search be made to the investigating police authority. [The failure or refusal of the federal bureau of investigation to make the fingerprint check provided for in this section shall not constitute the sole basis for refusal to issue a permit pursuant to the provisions of this section.] Of the remaining two fingerprint cards, one shall be filed with the executive department, division of state police, Albany, within ten days after issuance of the license, and the other remain on file with the investigating police authority. No such fingerprints may be inspected by any person other than a peace officer, who is acting pursuant to his special duties, or a 56 police officer, except on order of a judge or justice of a court of

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record either upon notice to the licensee or without notice, as the judge or justice may deem appropriate. Upon completion of the investigation, the police authority shall report the results to the licensing officer without unnecessary delay.

Filing of approved applications. (a) The application for any license, if granted, shall be filed by the licensing officer with the clerk of the county of issuance, except that in the city of New York and, in the counties of Nassau and Suffolk, the licensing officer shall designate the place of filing in the appropriate division, bureau or unit of the police department thereof, and in the county of Suffolk the 10 11 county clerk is hereby authorized to transfer all records or applications relating to firearms to the licensing authority of that county. 12 [The] Except as provided in paragraphs (b) through (f) of this subdivi-13 sion, the name and address of any person to whom an application for any 15 license has been granted shall be a public record. Upon application by a licensee who has changed his place of residence such records or applica-17 tions shall be transferred to the appropriate officer at the licensee's new place of residence. A duplicate copy of such application shall be 19 filed by the licensing officer in the executive department, division of state police, Albany, within ten days after issuance of the license. The superintendent of state police may designate that such application 21 shall be transmitted to the division of state police electronically. In 22 23 the event the superintendent of the division of state police determines 24 that it lacks any of the records required to be filed with the division, 25 it may request that such records be provided to it by the appropriate clerk, department or authority and such clerk, department or authority 27 shall provide the division with such records. In the event such clerk, department or authority lacks such records, the division may request the license holder provide information sufficient to constitute such record 30 and such license holder shall provide the division with such informa-31 tion. Such information shall be limited to the license holder's name, date of birth, gender, race, residential address, social security number and firearms possessed by said license holder. Nothing in this subdivision shall be construed to change the expiration date or term of such licenses if otherwise provided for in law. Records assembled or collected for purposes of inclusion in the database established by this section shall be released pursuant to a court order. Records assembled or collected for purposes of inclusion in the database created pursuant to section 400.02 of this chapter shall not be subject to disclosure 40 pursuant to article six of the public officers law.

(b) Each application for a license pursuant to paragraph (a) of this subdivision shall include, on a separate written form prepared by the division of state police within thirty days of the effective date of the chapter of the laws of two thousand thirteen, which amended this section, and provided to the applicant at the same time and in the same manner as the application for a license, an opportunity for the applicant to request an exception from his or her application information becoming public record pursuant to paragraph (a) of this subdivision. Such forms, which shall also be made available to individuals who had applied for or been granted a license prior to the effective date of the chapter of the laws of two thousand thirteen which amended this section, shall notify applicants that, upon discovery that an applicant knowingly provided false information, such applicant may be subject to penalties pursuant to section 175.30 of this chapter, and further, that his or her request for an exception shall be null and void, provided that written notice containing such determination is provided to the applicant.

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Further, such forms shall provide each applicant an opportunity to specify the grounds on which he or she believes his or her application information should not be publicly disclosed. These grounds, which shall be identified on the application with a box beside each for checking, as applicable, by the applicant, shall be as follows:

- (i) the applicant's life or safety may be endangered by disclosure because:
- (A) the applicant is an active or retired police officer, peace officer, probation officer, parole officer, or corrections officer;
- 10 (B) the applicant is a protected person under a currently valid order 11 of protection;
- 12 (C) the applicant is or was a witness in a criminal proceeding involv-13 ing a criminal charge;
- 14 (D) the applicant is participating or previously participated as a 15 juror in a criminal proceeding, or is or was a member of a grand jury; 16
- 17 (E) the applicant is a spouse, domestic partner or household member of 18 a person identified in this subparagraph or subparagraph (ii) of this paragraph, specifying which subparagraph or subparagraphs and clauses apply.
 - (ii) the applicant has reason to believe his or her life or safety may be endangered by disclosure due to reasons stated by the applicant.
- (iii) the applicant has reason to believe he or she may be subject 24 unwarranted harassment upon disclosure of such information.
- (c) Each form provided for recertification pursuant to paragraph (b) 26 of subdivision ten of this section shall include an opportunity for the applicant to request an exception from the information provided on such 28 form becoming public record pursuant to paragraph (a) of this subdivi-29 sion. Such forms shall notify applicants that, upon discovery that an 30 applicant knowingly provided false information, such applicant may be subject to penalties pursuant to section 175.30 of this chapter, and 32 further, that his or her request for an exception shall be null and 33 void, provided that written notice containing such determination is 34 provided to the applicant. Further, such forms shall provide each applicant an opportunity to either decline to request the grant or continuation of an exception, or specify the grounds on which he or she believes his or her information should not be publicly disclosed. These grounds, which shall be identified in the application with a box beside each for checking, as applicable, by the applicant, shall be the same as provided in paragraph (b) of this subdivision.
- (d) Information submitted on the forms described in paragraph (b) of 42 this subdivision shall be excepted from disclosure and maintained by the entity retaining such information separate and apart from all other records.
- (e) (i) Upon receiving a request for exception from disclosure, the 46 licensing officer shall grant such exception, unless the request is determined to be null and void, pursuant to paragraph (b) or (c) of this subdivision.
- 49 (ii) A request for an exception from disclosure may be submitted at 50 any time, including after a license or recertification has been granted.
- (iii) If an exception is sought and granted pursuant to paragraph (b) this subdivision, the application information shall not be public record, unless the request is determined to be null and void. If an exception is sought and granted pursuant to paragraph (c) of this subdi-
- vision, the information concerning such recertification application

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shall not be public record, unless the request is determined to be null

- (f) The information of licensees or applicants for a license shall not be disclosed to the public during the first one hundred twenty days following the effective date of the chapter of the laws of two thousand thirteen, which amended this section. After such period, the information of those who had applied for or been granted a license prior to the preparation of the form for requesting an exception, pursuant to paragraph (b) of this subdivision, may be released only if such individuals did not file a request for such an exception during the first sixty days following such preparation; provided, however, that no information contained in an application for licensure or recertification shall be disclosed by an entity that has not completed processing any such requests received during such sixty days.
- (g) If a request for an exception is determined to be null and void pursuant to paragraph (b) or (c) of this subdivision, an applicant may request review of such determination pursuant to article seventy-eight of the civil practice laws and rules. Such proceeding must commence within thirty days after service of the written notice containing the adverse determination. Notice of the right to commence such a petition, and the time period therefor, shall be included in the notice of the determination. Disclosure following such a petition shall not be made prior to the disposition of such review.
- 9. License: amendment. Elsewhere than in the city of New York, a person licensed to carry or possess a pistol or revolver may apply at any time to his or her licensing officer for amendment of his or her license to include one or more such weapons or to cancel weapons held under license. If granted, a record of the amendment describing the 29 weapons involved shall be filed by the licensing officer in the execu-30 tive department, division of state police, Albany. The superintendent of 31 state police may authorize that such amendment be completed and trans-32 mitted to the state police in electronic form. Notification of any 33 change of residence shall be made in writing by any licensee within ten 34 days after such change occurs, and a record of such change shall be 35 inscribed by such licensee on the reverse side of his or her license. 36 Elsewhere than in the city of New York, and in the counties of Nassau 37 and Suffolk, such notification shall be made to the executive depart-38 ment, division of state police, Albany, and in the city of New York to the police commissioner of that city, and in the county of Nassau to the police commissioner of that county, and in the county of Suffolk to the licensing officer of that county, who shall, within ten days after such 42 notification shall be received by him or her, give notice in writing of 43 such change to the executive department, division of state police, at
- 10. License: expiration, certification and renewal. (a) Any license 46 for gunsmith or dealer in firearms and, in the city of New York, any license to carry or possess a pistol or revolver, issued at any time 48 pursuant to this section or prior to the first day of July, nineteen 49 hundred sixty-three and not limited to expire on an earlier date fixed 50 in the license, shall expire not more than three years after the date of 51 issuance. In the counties of Nassau, Suffolk and Westchester, any 52 license to carry or possess a pistol or revolver, issued at any time 53 pursuant to this section or prior to the first day of July, nineteen 54 hundred sixty-three and not limited to expire on an earlier date fixed 55 in the license, shall expire not more than five years after the date of 56 issuance; however, in the county of Westchester, any such license shall

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be certified prior to the first day of April, two thousand, in accordance with a schedule to be contained in regulations promulgated by the commissioner of the division of criminal justice services, and every such license shall be recertified every five years thereafter. For purposes of this section certification shall mean that the licensee shall provide to the licensing officer the following information only: current name, date of birth, current address, and the make, model, caliber and serial number of all firearms currently possessed. Such certification information shall be filed by the licensing officer in the same manner as an amendment. Elsewhere than in the city of New York and the 10 11 counties of Nassau, Suffolk and Westchester, any license to carry or 12 possess a pistol or revolver, issued at any time pursuant to this 13 section or prior to the first day of July, nineteen hundred sixty-three 14 and not previously revoked or cancelled, shall be in force and effect 15 until revoked as herein provided. Any license not previously cancelled 16 or revoked shall remain in full force and effect for thirty days beyond 17 the stated expiration date on such license. Any application to renew a 18 license that has not previously expired, been revoked or cancelled shall thereby extend the term of the license until disposition of the applica-20 tion by the licensing officer. In the case of a license for gunsmith or 21 dealer in firearms, in counties having a population of less than two 22 hundred thousand inhabitants, photographs and fingerprints shall be 23 submitted on original applications and upon renewal thereafter only at 24 six year intervals. Upon satisfactory proof that a currently valid 25 original license has been despoiled, lost or otherwise removed from the 26 possession of the licensee and upon application containing an additional photograph of the licensee, the licensing officer shall issue a duplicate license.

(b) All licensees shall be recertified to the division of state police 30 every five years thereafter. Any license issued before the effective date of the chapter of the laws of two thousand thirteen which added this paragraph shall be recertified by the licensee on or before January 33 thirty-first, two thousand eighteen, and not less than one year prior to such date, the state police shall send a notice to all license holders who have not recertified by such time. Such recertification shall be in a form as approved by the superintendent of state police, which shall request the license holder's name, date of birth, gender, race, residential address, social security number, firearms possessed by such license holder, email address at the option of the license holder and an affirmation that such license holder is not prohibited from possessing firearms. The form may be in an electronic form if so designated by the superintendent of state police. Failure to recertify shall act as a revocation of such license. If the New York state police discover as a result of the recertification process that a licensee failed to provide a change of address, the New York state police shall not require the licensing officer to revoke such license.

11. License: revocation and suspension. (a) The conviction of a licensee anywhere of a felony or serious offense or a licensee at any time becoming ineligible to obtain a license under this section shall operate as a revocation of the license. A license may be revoked or suspended as provided for in section 530.14 of the criminal procedure law or section eight hundred forty-two-a of the family court act. Except for a license issued pursuant to section 400.01 of this article, a license may be revoked and cancelled at any time in the city of New York, and in the counties of Nassau and Suffolk, by the licensing officer, and elsewhere 56 than in the city of New York by any judge or justice of a court of

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record; a license issued pursuant to section 400.01 of this article may be revoked and cancelled at any time by the licensing officer or any judge or justice of a court of record. The official revoking a license shall give written notice thereof without unnecessary delay to the executive department, division of state police, Albany, and shall also notify immediately the duly constituted police authorities of the locality.

- (b) Whenever the director of community services or his or her designee makes a report pursuant to section 9.46 of the mental hygiene law, the division of criminal justice services shall convey such information, whenever it determines that the person named in the report possesses a license issued pursuant to this section, to the appropriate licensing official, who shall issue an order suspending or revoking such license.
- (c) In any instance in which a person's license is suspended or revoked under paragraph (a) or (b) of this subdivision, such person shall surrender such license to the appropriate licensing official and 16 any and all firearms, rifles, or shotguns owned or possessed by such person shall be surrendered to an appropriate law enforcement agency as 18 provided in subparagraph (f) of paragraph one of subdivision a of section 265.20 of this chapter. In the event such license, firearm, 20 shotgun, or rifle is not surrendered, such items shall be removed and declared a nuisance and any police officer or peace officer acting pursuant to his or her special duties is authorized to remove any and all such weapons.
- 24 12. Records required of gunsmiths and dealers in firearms. Any person licensed as quismith or dealer in firearms shall keep a record book approved as to form, except in the city of New York, by the superintendent of state police. In the record book shall be entered at the time of every transaction involving a firearm the date, name, age, occupation and residence of any person from whom a firearm is received or to whom a 30 firearm is delivered, and the calibre, make, model, manufacturer's name and serial number, or if none, any other distinguishing number or identification mark on such firearm. Before delivering a firearm to any person, the licensee shall require him to produce either a license valid under this section to carry or possess the same, or proof of lawful authority as an exempt person pursuant to section 265.20. In addition, 36 before delivering a firearm to a peace officer, the licensee shall verify that person's status as a peace officer with the division of state police. After completing the foregoing, the licensee shall remove and retain the attached coupon and enter in the record book the date of such license, number, if any, and name of the licensing officer, in the case of the holder of a license to carry or possess, or the shield or other number, if any, assignment and department, unit or agency, in the case of an exempt person. The original transaction report shall be forwarded to the division of state police within ten days of delivering a firearm to any person, and a duplicate copy shall be kept by the licensee. The superintendent of state police may designate that such record shall be completed and transmitted in electronic form. A dealer may be granted a waiver from transmitting such records in electronic form if the superintendent determines that such dealer is incapable of such transmission due to technological limitations that are not reasonably within the control of the dealer, or other exceptional circumstances demonstrated by the dealer, pursuant to a process established in regulation, and at the discretion of the superintendent. Records assembled or collected for purposes of inclusion in the database created pursuant to section 400.02 of this article shall not be subject to disclosure pursuant to article six of the public officers law. The record book shall be maintained on

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the premises mentioned and described in the license and shall be open at all reasonable hours for inspection by any peace officer, acting pursuant to his special duties, or police officer. In the event of cancellation or revocation of the license for gunsmith or dealer in firearms, or discontinuance of business by a licensee, such record book shall be immediately surrendered to the licensing officer in the city of New York, and in the counties of Nassau and Suffolk, and elsewhere in the state to the executive department, division of state police.

15. Any violation by any person of any provision of this section is a class A misdemeanor.

16-a. Registration. (a) An owner of a weapon defined in paragraph (e) or (f) of subdivision twenty-two of section 265.00 of this chapter, possessed before the date of the effective date of the chapter of the laws of two thousand thirteen which added this paragraph, must make an application to register such weapon with the superintendent of state police, in the manner provided by the superintendent, or by amending a license issued pursuant to this section within one year of the effective date of this subdivision except any weapon defined under subparagraph (vi) of paragraph (g) of subdivision twenty-two of section 265.00 of this chapter transferred into the state may be registered at any time, provided such weapons are registered within thirty days of their transfer into the state. Registration information shall include the registrant's name, date of birth, gender, race, residential address, social security number and a description of each weapon being registered. A registration of any weapon defined under subparagraph (vi) of paragraph (g) of subdivision twenty-two of section 265.00 or a feeding device as defined under subdivision twenty-three of section 265.00 of this chapter shall be transferable, provided that the seller notifies the state police within seventy-two hours of the transfer and the buyer provides the state police with information sufficient to constitute a registration under this section. Such registration shall not be valid if such registrant is prohibited or becomes prohibited from possessing a firearm pursuant to state or federal law. The superintendent shall determine whether such registrant is prohibited from possessing a firearm under state or federal law. Such check shall be limited to determining whether the factors in 18 USC 922 (g) apply or whether a registrant has been convicted of a serious offense as defined in subdivision sixteen-b of section 265.00 of this chapter, so as to prohibit such registrant from possessing a firearm, and whether a report has been issued pursuant to section 9.46 of the mental hygiene law. All registrants shall recertify to the division of state police every five years thereafter. Failure to recertify shall result in a revocation of such registration.

(b) The superintendent of state police shall create and maintain an internet website to educate the public as to which semiautomatic rifle, semiautomatic shotgun or semiautomatic pistol or weapon that are illegal as a result of the enactment of the chapter of the laws of two thousand thirteen which added this paragraph, as well as such assault weapons which are illegal pursuant to article two hundred sixty-five of this chapter. Such website shall contain information to assist the public in recognizing the relevant features proscribed by such article two hundred sixty-five, as well as which make and model of weapons that require registration.

(c) A person who knowingly fails to apply to register such weapon, as required by this section, within one year of the effective date of the chapter of the laws of two thousand thirteen which added this paragraph shall be guilty of a class A misdemeanor and such person who unknowingly

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fails to validly register such weapon within such one year period shall be given a warning by an appropriate law enforcement authority about such failure and given thirty days in which to apply to register such weapon or to surrender it. A failure to apply or surrender such weapon within such thirty-day period shall result in such weapon being removed by an appropriate law enforcement authority and declared a nuisance.

- 16-b. The cost of the software, programming and interface required to transmit any record that must be electronically transmitted by the dealer or licensing officer to the division of state police pursuant to this chapter shall be borne by the state.
- 11 § 49. The penal law is amended by adding a new section 400.02 to read 12 as follows:
- § 400.02 Statewide license and record database. 13

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There shall be a statewide license and record database which shall be created and maintained by the division of state police the cost of which shall not be borne by any municipality. Records assembled or collected for purposes of inclusion in such database shall not be subject to disclosure pursuant to article six of the public officers law. Records containing granted license applications shall be periodically checked by the division of criminal justice services against criminal conviction, mental health, and all other records as are necessary to determine their continued accuracy as well as whether an individual is no longer a 23 valid license holder. The division of criminal justice services shall 24 also check pending applications made pursuant to this article against such records to determine whether a license may be granted. All state 26 agencies shall cooperate with the division of criminal justice services, as otherwise authorized by law, in making their records available for such checks. The division of criminal justice services, upon determining that an individual is ineligible to possess a license, or is no longer a valid license holder, shall notify the applicable licensing official of such determination and such licensing official shall not issue a license or revoke such license and any weapons owned or possessed by such individual shall be removed consistent with the provisions of subdivision eleven of section 400,00 of this article. Local and state law enforcement shall have access to such database, as otherwise authorized by law, in the performance of their duties. Records assembled or collected for purposes of inclusion in the database established by this section shall be released pursuant to a court order.

- 39 § 50. The penal law is amended by adding a new section 400.03 to read 40 as follows:
 - § 400.03 Sellers of ammunition.
- 41 1. A seller of ammunition as defined in subdivision twenty-four of 42 43 section 265.00 of this chapter shall register with the superintendent of state police in a manner provided by the superintendent. Any dealer in firearms that is validly licensed pursuant to section 400.00 of this article shall not be required to complete such registration.
- 2. Any seller of ammunition or dealer in firearms shall keep a record book approved as to form by the superintendent of state police. In the record book shall be entered at the time of every transaction involving ammunition the date, name, age, occupation and residence of any person from whom ammunition is received or to whom ammunition is delivered, and the amount, calibre, manufacturer's name and serial number, or if none, any other distinguishing number or identification mark on such ammunition. The record book shall be maintained on the premises mentioned and described in the license and shall be open at all reasonable hours for inspection by any peace officer, acting pursuant to his or her special

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duties, or police officer. Any record produced pursuant to this section and any transmission thereof to any government agency shall not be considered a public record for purposes of article six of the public officers law.

- 3. No later than thirty days after the superintendent of the state police certifies that the statewide license and record database established pursuant to section 400.02 of this article is operational for the purposes of this section, a dealer in firearms licensed pursuant to section 400.00 of this article, a seller of ammunition as defined subdivision twenty-four of section 265.00 of this chapter shall not transfer any ammunition to any other person who is not a dealer in firearms as defined in subdivision nine of such section 265.00 or a seller of ammunition as defined in subdivision twenty-four of section 265.00 of this chapter, unless:
- (a) before the completion of the transfer, the licensee or seller contacts the statewide license and record database and provides the database with information sufficient to identify such dealer or seller, transferee based on information on the transferee's identification document as defined in paragraph (c) of this subdivision, as well as the amount, calibre, manufacturer's name and serial number, if any, of such 20 ammunition;
- (b) the system provides the licensee or seller with a unique identifi-22 23 cation number; and
- 24 (c) the transferor has verified the identity of the transferee by 25 examining a valid state identification document of the transferee issued by the department of motor vehicles or if the transferee is not a resident of the state of New York, a valid identification document issued by the transferee's state or country of residence containing a photograph 29 of the transferee.
- 4. If the database determines that the purchaser of ammunition is 30 eligible to possess ammunition pursuant to state and federal laws, the 31 32
 - (a) assign a unique identification number to the transfer; and
 - (b) provide the licensee or seller with the number.
 - 5. If the statewide license and record database notifies the licensee or seller that the information available to the database does not demonstrate that the receipt of ammunition by such other person would violate 18 U.S.C. 922(q) or state law, and the licensee transfers ammunition to such other person, the licensee shall indicate to the database that such transaction has been completed at which point a record of such transaction shall be created which shall be accessible by the division of state police and maintained for no longer than one year from point of purchase, which shall not be incorporated into the database established pursuant to section 400.02 of this article or the registry established pursuant to subdivision sixteen-a of section 400.00 of this article. The division of state police may share such information with a local law enforcement agency. Evidence of the purchase of ammunition is not sufficient to establish probable cause to believe that the purchaser has committed a crime absent other information tending to prove the commission of a crime. Records assembled or accessed pursuant to this section shall not be subject to disclosure pursuant to article six of the public officers law. This requirement of this section shall not apply (i) if a background check cannot be completed because the system is not operational as determined by the superintendent of state police, or where cannot be accessed by the practitioner due to a temporary technological or electrical failure, as set forth in regulation, or (ii) a dealer or

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seller has been granted a waiver from conducting such background check if the superintendent of state police determines that such dealer is incapable of such check due to technological limitations that are not reasonably within the control of the dealer, or other exceptional circumstances demonstrated by the dealer, pursuant to a process established in regulation, and at the discretion of such superintendent.

- 6. If the superintendent of state police certifies that background checks of ammunition purchasers may be conducted through the national instant criminal background check system, use of that system by a dealer or seller shall be sufficient to satisfy subdivisions four and five of this section and such checks shall be conducted through such system, provided that a record of such transaction shall be forwarded to the state police in a form determined by the superintendent.
- 7. No commercial transfer of ammunition shall take place unless a licensed dealer in firearms or registered seller of ammunition acts as an intermediary between the transferor and the ultimate transferee of the ammunition for the purposes of contacting the statewide license and record database pursuant to this section. Such transfer between the dealer or seller, and transferee must occur in person.
- 8. A seller of ammunition who fails to register pursuant to this 20 section and sells ammunition, for a first offense, shall be guilty of a 21 violation and subject to the fine of one thousand dollars and for a second offense, shall be guilty of a class A misdemeanor. 22 23
- A seller of ammunition that fails to keep any record required pursuant 25 to this section, for a first offense shall be quilty of a violation and subject to a fine of five hundred dollars, and for a second offense shall be quilty of a class B misdemeanor, and the registration of such seller shall be revoked.
- § 51. Section 400.10 of the penal law, as added by chapter 531 of the 29 laws of 1984, and subdivision 1 as amended and subdivision 3 as added by chapter 189 of the laws of 2000, is amended to read as follows:
 - § 400.10 Report of theft or loss of a firearm, rifle or shotgun.
- 1. (a) Any owner or other person lawfully in possession of: (i) a 33 firearm, rifle or, shotgun who suffers the loss or theft of said weapon; (ii) ammunition as well as a firearm, rifle or shotgun who suffers the loss or theft of such ammunition as well as a firearm, rifle or shotgun; or (iii) ammunition and is a dealer in firearms or seller of ammunition who suffers the loss or theft of such ammunition shall within twentyfour hours of the discovery of the loss or theft report the facts and 40 circumstances of the loss or theft to a police department or sheriff's office.
- (b) Whenever a person reports the theft or loss of a firearm, rifle [ex], shotgun or ammunition to any police department or sheriff's office, the officer or department receiving such report shall forward notice of such theft or loss to the division of state police via the New 46 York Statewide Police Information Network. The notice shall contain information in compliance with the New York Statewide Police Information 48 Network Operating Manual, including the caliber, make, model, manufac-49 turer's name and serial number, if any, and any other distinguishing 50 number or identification mark on the weapon.
- 2. The division of state police shall receive, collect and file the 52 information referred to in subdivision one of this section. The division 53 shall cooperate, and undertake to furnish or make available to law 54 enforcement agencies this information, for the purpose of coordinating 55 law enforcement efforts to locate such weapons.

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- 3. Notwithstanding any other provision of law, a violation of paragraph (a) of subdivision one of this section shall be [punishable only by a fine not to exceed one hundred dollars] a class A misdemeanor.
- § 52. The penal law is amended by adding a new section 460.22 to read 5 as follows:
- 6 § 460.22 Aggravated enterprise corruption.

A person is guilty of aggravated enterprise corruption when he or she 8 commits the crime of enterprise corruption and two or more of the acts that constitute his or her pattern of criminal activity are class B felonies or higher, and at least two acts are armed felonies as defined 9 10 in paragraph (a) of subdivision forty-one of section 1.20 of the crimi-11 nal procedure law or one act is such an armed felony and one act is a 12 violation of subdivision two of section 265.17 of this chapter or one 13 act is a class B violent felony and two are violations of subdivision 14 two of section 265.17 of this chapter. 15

Aggravated enterprise corruption is a class A-I felony.

§ 53. The surrogate's court procedure act is amended by adding a new section 2509 to read as follows:

§ 2509. Firearms inventory

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Whenever, by regulation, rule or statute, a fiduciary or attorney of record must file a list of assets constituting a decedent's estate, such list must include a particularized description of every firearm, shotgun and rifle, as such terms are defined in section 265.00 of the penal law, that are part of such estate. Such list must be filed with the surrogate's court in the county in which the estate proceeding, if any, is 26 pending and a copy must be filed with the division of criminal justice services.

- \S -54. Section 18 of chapter 408 of the laws of 1999, constituting Kendra's Law, as amended by chapter 139 of the laws of 2010, is amended to read as follows:
- § 18. This act shall take effect immediately, provided that section fifteen of this act shall take effect April 1, 2000, provided, further, that subdivision (e) of section 9.60 of the mental hygiene law as added 34 by section six of this act shall be effective 90 days after this act shall become law; and that this act shall expire and be deemed repealed June 30, [2015] 2017.
- § 55. The education law is amended by adding a new section 2801-b to 37 38 read as follows:
- § 2801-b. New York state school safety improvement teams. The gover-40 nor shall establish New York state school safety improvement teams, 41 which may be composed of representatives from the division of homeland 42 security and emergency services, the division of state police, the divi-43 sion of criminal justice services, and the department. Such New York 44 State School Safety Improvement Teams shall review and assess school safety plans submitted, on a voluntary basis, by school districts having 46 a population of less than one hundred twenty-five thousand inhabitants, boards of cooperative educational services, and county vocational education and extension boards, and may make recommendations to improve such school safety plans.
- § 56. Subdivision 6-c of section 3602 of the education law, as amended 51 by section 1 of part A-2 of chapter 62 of the laws of 2003, is amended to read as follows:
- 6-c. a. Building aid for metal detectors, and safety devices for electrically operated partitions, room dividers and doors. In addition to the apportionments payable to a school district pursuant to subdivision six of this section, the commissioner is hereby authorized to apportion

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to any school district additional building aid pursuant to this subdivision for its approved expenditures in the base year for the purchase of stationary metal detectors, security cameras, safety devices for electrically operated partitions and room dividers required pursuant to section four hundred nine-f of this chapter, or other security devices approved by the commissioner that increase the safety of students and school personnel, provided, however, that funds apportioned to school districts pursuant to this section shall not supplant funds for existing district expenditures or for existing contractual obligations of the 10 district for stationary metal detectors, security cameras, partition and 11 room divider safety devices, or security devices. Portable or hand held metal detectors shall not be eliqible for aid pursuant to this subdivision. Such additional aid shall equal the product of the building aid 14 ratio computed for use in the current year pursuant to paragraph c of 15 subdivision six of this section and the actual approved expenditures incurred in the base year pursuant to this subdivision, provided that 16 17 the limitations on cost allowances prescribed by paragraph a of subdivi-18 sion six of this section shall not apply. The commissioner shall annual-19 ly prescribe a special cost allowance for metal detectors, and security cameras, and the approved expenditures shall not exceed such cost allow-21 ance. The commissioner shall annually prescribe a special cost allowance for partition and room divider safety devices, and the approved expenditures shall not exceed such cost allowance. 23

b. For projects approved by the commissioner authorized to receive additional building aid pursuant to this subdivision for the purchase of 26 stationary metal detectors, security cameras or other security devices approved by the commissioner that increase the safety of students and school personnel, provided that for purposes of this paragraph such other security devices shall be limited to electronic security systems and hardened doors, and provided that for projects approved by the commissioner on or after the first day of July two thousand thirteen and before the first day of July two thousand sixteen such additional aid shall equal the product of (i) the building aid ratio computed for use in the current year pursuant to paragraph c of subdivision six of this section plus ten percentage points, except that in no case shall this amount exceed one hundred percent, and (ii) the actual approved expenditures incurred in the base year pursuant to this subdivision, provided that the limitations on cost allowances prescribed by paragraph a of subdivision six of this section shall not apply, and provided further that any projects aided under this paragraph must be included in a district's school safety plan. The commissioner shall annually prescribe a special cost allowance for metal detectors, and security cameras, and the approved expenditures shall not exceed such cost allowance.

§ 57. Severability. If any clause, sentence, paragraph, section or 45 part of this act shall be adjudged by any court of competent jurisdiction to be invalid and after exhaustion of all further judicial review, the judgment shall not affect, impair or invalidate the remainder thereof, but shall be confined in its operation to the clause, sentence, paragraph, section or part of this act directly involved in the controversy in which the judgment shall have been rendered.

§ 58. This act shall take effect immediately; provided, however, that: a. Sections one, two, three, four, five, six, seven, eight, nine, ten, 53 eleven, twelve, thirteen, fourteen, fifteen, sixteen, seventeen, eighteen, nineteen, twenty, twenty-one, twenty-two, twenty-three, twentyfour, twenty-five, twenty-six, twenty-six-a, twenty-seven, twenty-eight, 56 twenty-nine, thirty, thirty-one, thirty-two, thirty-three, thirty-four,

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thirty-five, thirty-six, thirty-nine, forty, forty-one, forty-one-a, forty-one-b, forty-two, forty-three, forty-five, forty-six, forty-six-a, forty-seven, fifty-one, fifty-two, fifty-three, fifty-four, fifty-five, and fifty-six of this act shall take effect on the sixtieth day after it shall have become a law;
b. The amendments to subdivision 23 of section 265.00 of the penal law

- b. The amendments to subdivision 23 of section 265.00 of the penal law made by section thirty-eight of this act shall take effect on the ninetieth day after this act shall have become a law, except that the amendments made to paragraph (a) of subdivision 23 shall take effect immediately;
- 11 c. The amendments to subdivision 1, paragraph (a) of subdivision 3, 12 and subdivisions 4, 9, 10, 11, 12, 15, and 16-b of section 400.00 of the 13 penal law made by section forty-eight of this act shall take effect one 14 year after this act shall have become a law;
- d. The amendments to subdivision 16-a of section 400.00 of the penal law made by section forty-eight of this act shall take effect on the ninetieth day after this act shall have become a law;
- 18 e. The amendments to sections 400.02 and 400.03 of the penal law made 19 by sections forty-nine and fifty of this act shall take effect one year 20 after it shall have become a law; and
- f. The amendments to subdivision (b) of section 9.47 and sections 9.48 and 9.60 of the mental hygiene law made by sections twenty-one, twenty-two and twenty-three of this act shall not affect the expiration and repeal of such paragraph and sections and shall be deemed repealed therewith.

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